

## Full paper

In-situ mass-electrochemical study of surface redox potential and interfacial chemical reactions of Li(Na)FePO<sub>4</sub> nanocrystals for Li(Na)-ion batteriesXiaohe Song<sup>a,1</sup>, Tongchao Liu<sup>a,1</sup>, Joseph Amine<sup>a</sup>, Yandong Duan<sup>a</sup>, Jiaxin Zheng<sup>a,\*</sup>, Yuan Lin<sup>a,b</sup>, Feng Pan<sup>a,\*</sup><sup>a</sup> School of Advanced Materials, Peking University, Shenzhen Graduate School, Shenzhen 518055, People's Republic of China<sup>b</sup> Institute of chemistry, Chinese Academy of Science, Beijing 100080, People's Republic of China

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## ABSTRACT

In this work, an in-situ experimental mass-electrochemical investigation of the LiFePO<sub>4</sub> (LFP) and NaFePO<sub>4</sub> (NFP) electrolyte interfacial chemical reactions and surface redox potential is achieved by adopting electrochemical quartz crystal microbalance (EQCM) to monitor the mass change trend. In organic electrolyte, LFP (NFP) cathode's mass decreases/increases during the charge/discharge process because of deintercalation/intercalation of Li (Na) ions, which is a normal phenomenon which is generally known. However, the mass-potential curve for LFP nanocrystals in aqueous electrolyte show an anomalous mass change interval (AMCI) around 3.42 V (vs. Li/Li<sup>+</sup>) where the cathode's mass increase in the charging process and mass decrease in the discharging process, which doesn't obey the normal law of mass change. Through density functional theory (DFT) calculations, we gain a microscopic picture of the solid-liquid interface structure with a reconstructed LFP (010)/H<sub>2</sub>O and NFP (010)/H<sub>2</sub>O interface. Taken together, it's concluded that the surface redox potential of LFP is around 3.31 V, which is lower than the bulk potential (3.42 V) and the desolvation/solvation rate of surficial Li-ion is lower than the bulk Li-ion diffusion rate. While for NFP, it's surface redox potential is almost the same as the bulk one.

## 1. Introduction

Interfacial chemistries often play a key role in the performance of lithium ion batteries [1–4], it is important to getting deep insight of the properties of electrode/electrolyte interface. Nevertheless, due to the electrode surface's liquidity, irregular surface, and complex solid electrolyte interphase (SEI) [5,6], direct investigation of interfacial structures of electrode materials in electrolytes remains challenging and attractive. Masaaki Hirayama et al. studied the in-situ dynamic structural changes at LiMn<sub>2</sub>O<sub>4</sub>/electrolyte interface during electrochemical cycling by using surface X-ray diffraction (SXRD) technique, and it is found that the surface structural changes commence with the formation of an electric double layer, which is followed by surface reconstruction when a voltage is applied in the first charge process [7]. By in-situ total reflection fluorescence X-ray absorption spectroscopy, Yamamoto et al. studied the effects of the electronic structure at the electrode/electrolyte interface on the cyclic performance of the cathode materials (LiCoO<sub>2</sub> and LiFePO<sub>4</sub>) [8]. They found that the reduction of Co ions at the electrode/electrolyte interface happens during cycling in

a LiCoO<sub>2</sub> thin-film electrode in an organic electrolyte, with subsequent irreversible changes after cycling. While for the LiFePO<sub>4</sub> thin-film electrode, the electronic structure at the electrode/electrolyte interface was stable and reversible upon electrolyte immersion with subsequent cycling.

For LiFePO<sub>4</sub>, there is no complex SEI when performed in the organic electrolyte system [9–11]. In addition, the carbon coating also does not block the electrolyte from directly contacting with the LFP surfaces [11,12]. Therefore LFP/electrolyte interface is simple, which makes it an ideal model for experimental and theoretical investigations of the electrode's solid-liquid interface, and some efforts has been made on this subject. Wang et al. studied the surface properties of LFP in vacuum by using DFT calculations, they found (010) surface, named LFP (010), to be the most energetically favorable surface [13], and the surface redox potential of (010) surface is calculated to be around 3.0 V, which is 0.5 V lower than the bulk potential (3.5 V). Lots of experiments also demonstrated (010) surface to be the mostly exposed one [14,15]. Zheng et al. Reported that LFP nanocrystals in aqueous electrolyte presented an ultra-high rate capability and ascribed it to the

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electrode/electrolyte solid-liquid interface [4]. By adopting single-particle electrode model, Hu et al. found that the interfacial rate constant of chemical reaction in aqueous electrolyte is one order higher than in organic electrolyte [16]. Similar as LFP, olivine phase NaFePO<sub>4</sub> (NFP) is an important promising cathode material for sodium ion batteries (SIBs) with a high theoretical capacity of 154 mA h g<sup>-1</sup> [17]. Olivine phase NFP was typically synthesized via an ion exchange method by electrochemical insertion of sodium ions into chemical or electrochemical delithiated FePO<sub>4</sub> [18,19]. There is still few studies about the solid-liquid interfacial properties of NFP, it's believed that comparative study between LFP and NFP will provide a more comprehensive understanding of LFP's [20] electrochemical performance.

Electrochemical quartz crystal microbalance (EQCM) is highly sensitive to the mass and morphology change during the electrochemical reactions and has been widely employed to investigate film growth, porous materials, protein denaturation, and so on [21–24]. EQCM was recently used to in-situ study cathode materials of LIBs [25–27]. Using electrochemical quartz crystal admittance (EQCA), a technique similar to EQCM, Levi et al. found exceptionally high selectivity of Li-ions insertion into FePO<sub>4</sub> as compared to that of Na-ions in mixed aqueous electrolyte solutions of lithium and sodium sulfates [25]. Shpigol et al. studied LiMn<sub>2</sub>O<sub>4</sub> by EQCM combined with in situ hydrodynamic spectra, which enables a comprehensive understanding of how ion insertion/extraction affects the structure of the porous electrode in contact with solutions on a mesoscopic scale [26]. However, the detailed direct experimental observation of LFP's electrode/electrolyte interfacial chemical reactions during cycling is still in lack.

In this work, EQCM and DFT calculation are combined to study the interfacial properties of LFP. First, EQCM test is applied to monitor the mass change of the cathode during the charge/discharge process. It's found that both LFP and NFP nanocrystals, as the cathode material with organic electrolyte, have the normal monotonic mass-charge curves that the cathode's mass decreases as the decrease/increase in the charge/discharge process. In aqueous electrolytes, LFP nanocrystals show a mass-potential curve with an anomalous mass change interval (AMCI) appearing around 3.42 V (vs. Li/Li<sup>+</sup>) where the charge ( $\Delta q$ ) and the mass ( $\Delta m$ ) decrease/increase synchronously in the charging/discharging process, while for NFP the mass-potential curve is normal. Through DFT calculations, we get a microscopic picture of the solid-liquid interfacial chemical reactions and the surface redox potential of LFP and NFP nanocrystals. Taken together, we concluded that the surface redox potential of LFP is around 3.31 V, which is lower than the bulk potential 3.42 V and the desolvation/solvation rate of surficial Li-ion is lower than the bulk Li-ion diffusion rate.

## 2. Materials and methods

### 2.1. Synthesis of LiFePO<sub>4</sub> and NaFePO<sub>4</sub> nanocrystals

The LiFePO<sub>4</sub> particles with mean size of 100 nm and 40 nm were synthesized by reflux route in ethylene glycol solution under atmospheric pressure. For these two kinds of particles, FeSO<sub>4</sub>·7H<sub>2</sub>O, H<sub>3</sub>PO<sub>4</sub>, and LiOH·H<sub>2</sub>O were used as starting materials in a molar ratio of 1:1.5:2.7 and ethylene glycol (EG) was applied as solvent. For the synthesis of 100 nm LiFePO<sub>4</sub>, FeSO<sub>4</sub> solution was slowly introduced to the LiOH solution under stirring, and then H<sub>3</sub>PO<sub>4</sub> solution was added into the mixture. For the synthesis of 40 nm LiFePO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub> solution was slowly introduced to the LiOH solution under stirring, and then FeSO<sub>4</sub> solution was added into the mixture. After well stirring, the mixture of two syntheses was heated at reflux condition for 10 h under Ar atmosphere. The resulting suspension was washed several times with water and ethanol. NaFePO<sub>4</sub> was prepared by electrochemical delithiation from LiFePO<sub>4</sub>. The specific scheme was that LiFePO<sub>4</sub> was charged to 0.7 V at 0.5 C and then maintained the voltage at 0.7 V

about 1 h in aqueous electrolyte or organic electrolyte, which ensured that lithium ions are extracted out from LiFePO<sub>4</sub> completely, and the pure FePO<sub>4</sub> were formed. After they were washed by water or DMC several times, the cells were put into 0.5 M Na<sub>2</sub>SO<sub>4</sub> solution as an aqueous electrolyte or 1 M NaClO<sub>4</sub> dissolved in EC/DMC solution as an organic electrolyte, respectively. The pure FePO<sub>4</sub> was discharged to -0.4 V at 0.1 C first, then charged and discharged in the voltage range of -0.4–0.7 V (vs. Ag/AgCl) at 0.2 C several times to form NaFePO<sub>4</sub>.

### 2.2. Electrochemical quartz crystal microbalance apparatus

For the EQCM technique, quartz crystal act as mass sensors to in situ monitor the mass variation of materials. It can be widely used under vacuum, gas phase or liquid environments. The related mass change ( $\Delta m$ ) could be highly precisely detected according to the resulting frequency change ( $\Delta f$ ) of the quartz crystal. The frequency change ( $\Delta f$ ) and the mass change ( $\Delta m$ ) obey the Sauerbrey equation [28].

$$\Delta f = -C_f \Delta m$$

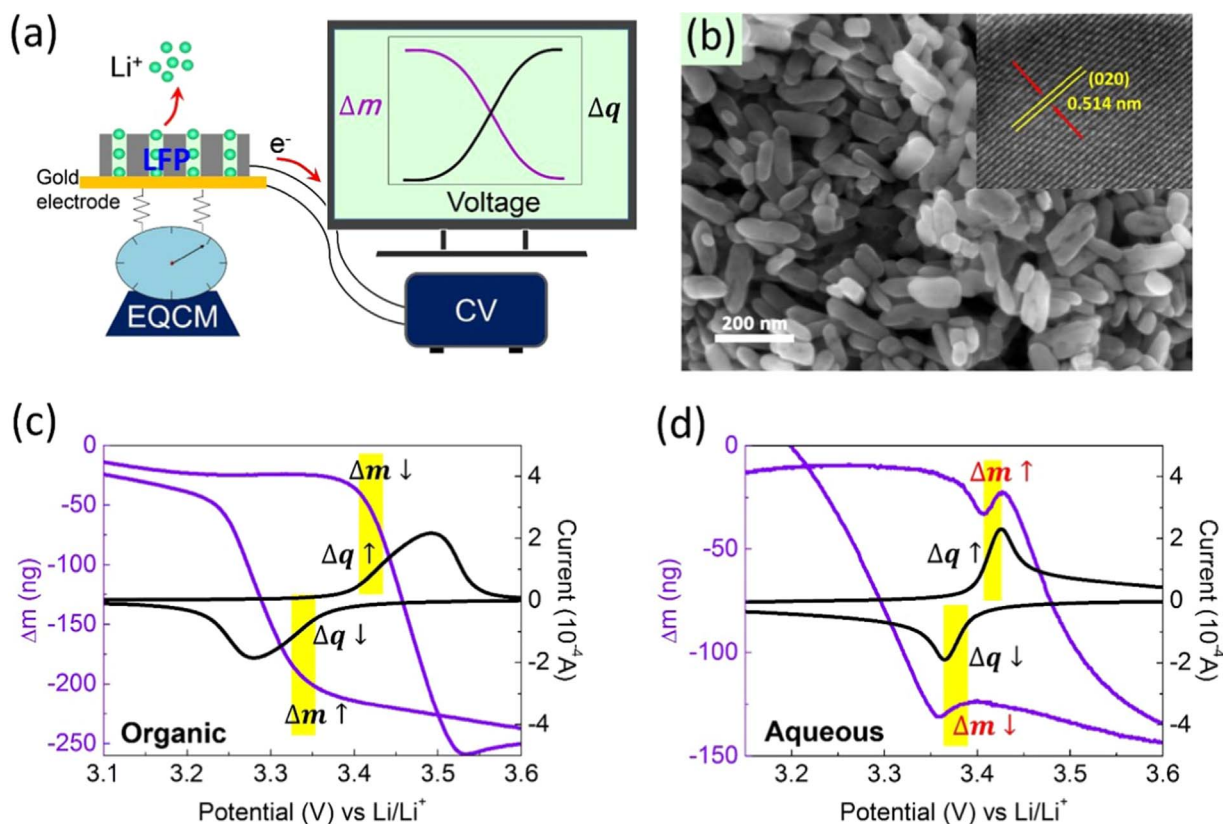
In this equation,  $C_f$  is the sensitivity factor. The EQCM test was performed on an electrochemical workstation (CHI 420C, Shanghai Chenhua) and a special electrolytic cell as shown in Fig. S1 is employed. The gold electrode, platinum electrode, and saturated calomel electrode (SCE) used as working electrode, counter electrode, and reference electrode, respectively. The quartz crystals were sputtered with gold, and the frequency of the sputtered quartz crystals were found to be 8 MHz. The surface area of gold electrode available for loading the samples was 0.196 cm<sup>2</sup>. The EQCM experiments were performed at a scan rate of 10 mV/s in the voltage range of -0.2–0.7 V for LiFePO<sub>4</sub> and -0.4–0.7 V for NaFePO<sub>4</sub> using a standard three-electrode configuration. The front electrode of the quartz crystal acting as the working electrode, a Ag/AgCl electrode was used as the reference electrode and the platinum electrode was used as the counter electrode. In this text, we convert all the potentials (vs SCE) to potentials (vs Li/Li<sup>+</sup>) for comparison more conveniently.

### 2.3. Definition of mass-potential and mass-charge curves detected EQCM

As shown in Fig. 1a, both the potential and charge quantity (in the unit of mole electrons) vary gradually during the discharge/charge process, where the mass change are detected by using EQCM and the corresponding electrons transfer and the current change is monitored by cyclic voltammetry (CV). The mass change as a function of redox potential and the corresponding integrated charge quantity are defined as mass-potential and mass-charge curve, respectively. Ordinarily, as the cathode material with organic electrolyte, LFP (NFP) cathode's mass decreases/increases during the charge/discharge process because of deintercalation/intercalation of Li (Na) ions. During the discharge/charge process, every mole Li (Na)-ions transfer into/out of the electrode corresponds to a mole electrons transferring into/out of. For example, LFP cathode's mass change monotonically as a function of the charge quantity with the theoretical slope of 6.94 g mol<sup>-1</sup>, because the atomic mass of Li is 6.94. For the same reason, NFP's mass-charge has theoretical slope of 22.99 g mol<sup>-1</sup>. But at some times, due to the side reactions at the solid-liquid interface or interface structure changes, the slope of the mass and the charge doesn't obey this law. Thus the relationship between mass variation  $\Delta m$  and the charge variation  $\Delta q$  during charge/discharge process could provide detailed information about electrode/electrolyte interface.

### 2.4. DFT calculations method

All calculations are performed with the Vienna DFT simulation package (VASP) [29,30], using the plane-wave projector-augmented



**Fig. 1.** (a) The schematic of the charging process of  $\text{LiFePO}_4$  electrode in an EQCM apparatus, the picture in the right-top corner illustrates that as the total mass ( $M$ , purple line) of the electrode monotonically decrease as the charge capacity ( $Q$ , black line) increasing. (b) Morphology of the 100 nm particle with the mostly exposed surface detected to be (010). (c) The mass-potential cure (purple line) and CV curve (black line) of  $\text{LiFePO}_4$  in organic electrolyte where the mass decrease (increase) monotonically in the charging (discharging) process, as illustrated in the upper (lower) highlighted area. (d) The mass-potential cure and CV curve of  $\text{LiFePO}_4$  in aqueous electrolyte where the mass increase (decrease) shows an AMCI, which is the highlighted parts of the purple curves, in the charging (discharging) process, as shown in the upper (lower) highlighted area.

wave method [31] with an energy cut-off of 450 eV. The Perdew-Burke-Ernzerhof (PBE) [32] form of the generalized gradient approximation (GGA) was chosen as the exchange-correlation potential. Considering the strong onsite Coulomb interaction ( $U$ ) present in the localized 3d electrons of Fe, the PBE+ $U$  approach [33] is employed with the same  $U$  value of 4.3 eV [34] for  $\text{Li(Na)FePO}_4$  and  $\text{FePO}_4$ . To obtain reliable optimized structures and the total energy, all the atomic positions and cell parameters are fully relaxed by use of a conjugate gradient algorithm, and optimized structures are assumed to be reached when the force on each atom is smaller than 0.03 eV/Å. A  $2 \times 1 \times 2$  k-point grid within the Monkhorst-Pack scheme is used to sample the Brillouin zone of the slabs built on the basis of an  $a \times 2b \times 2c$  supercell with the vacuum height of 17 Å. To calculate the water and EC adsorption on  $\text{LiFePO}_4$  surfaces in liquid electrolyte, the solvation model was also employed. Since VASP (plane wave basis) can't handle the localized charge in a system well due to the long range screening effect, all the calculation about the desolvation energy are recalculated using Gaussian 03 package [35] (atomic orbital basis) with HF/6-31+G\*(d) basis.

### 3. Results and discussion

#### 3.1. Mass electrochemical tests

For the 100 nm and 40 nm LFP nano-crystals, scan electron micrograph (SEM) and transmission electron micrograph (TEM) show that the main exposed surface is (010) (Fig. 1b and S2) and the particles are carbon coated (Fig. S3). Then the  $\text{LiFePO}_4$  and  $\text{NaFePO}_4$  electrodes for EQCM test is prepared in the following process. First, the  $\text{LiFePO}_4$  particles and acetylene black was dispersed in 1-Methyl-2-

pyrrolidinone (NMP) to prepare a mushy mixture. Second, ultrasonic dispersion method was used to sufficiently disperse the mixture to achieve quasi single particle distribution, ten times of ultrasonic dispersion was performed with every time lasting five minutes. Third, the dispersed mixture was coated on the gold electrode of quartz crystals and then dried in the drying oven at 80 °C.  $\text{NaFePO}_4$  electrodes were made by electrochemical delithiation of  $\text{LiFePO}_4$  electrodes. The morphology of the prepared EQCM electrode is shown in Fig. S4, which shows that it is porous with the pore size commonly larger than 100 nm and the largest thickness of the stacked particles is about 800 nm. CV and mass-potential curve were obtained at a scan rate of  $10 \text{ mV/s}^{-1}$  in aqueous electrolyte and organic electrolyte. It should be noted that EQCM is sensitive to the pore size of the porous electrode. If the diameter of a pore is much smaller than the amplitude of quartz-crystal's transverse oscillation waves, the liquids in the hole will be considered as an undistinguishable part of the electrode, and then the change of the macrostructure is hard to be detected [25]. Therefore, the pore size should be large enough to clearly detect the structural change and kinetics of the interface surrounding the pores. As PVDF binder would fill the space between particles and lead to much smaller holes, the electrode in this work is binder-free and only made of a mixture of LFP nanocrystals and carbon black to make sure that the pores is as large as enough.

We first performed the EQCM test on LFP electrode in the organic electrolyte. For the 100 nm LFP nanocrystals, the mass-potential curve (Fig. 1c) shows that the total mass of the electrode monotonically decreases as during the charging (discharging) process. Second, EQCM was applied to the aqueous electrolyte system with the 100 nm LFP nanocrystals worked as cathode. As shown in Fig. 1d, mass-potential curve illustrates that the cathode's mass begins to decrease in the range

3.31–3.42 V (vs.  $\text{Li}^+/\text{Li}$ ) and then increase in the range 3.42–3.44 V. After the potential reaching 3.44 V, the mass decreases monotonically until the end of the charge process. In the discharging process, the cathode's mass increases in the range 3.51–3.41 V and sequentially decrease at the range of 3.41 ~ 3.36 V. After the potential is less than 3.36 V, the mass increases monotonically until the end of charging. This AMCI in the range 3.42–3.44 V repeatedly appears in every cycle during tests. The similar mass-potential curve are also clearly observed at every charging/discharging cycle for the 40 nm LFP nanocrystals in aqueous electrolyte (Fig. S5), indicating this is a robust phenomenon for LFP nanocrystals with different particle sizes, as long as they worked as cathode in aqueous electrolytes.

We further adjusted the upper potential limits to different values. It's found that, under the upper potential limits of 3.42 V, the cathode's mass decreases monotonically from 3.31 to 3.42 V and no AMCI is observable (Fig. S6a). Next the upper potential limit is set to 3.44 V, it can be observed that when the potential increase to 3.42 V, the AMCI with the increasing mass appears after initial mass decrease (Fig. S6b). Therefore, it confirms that only when the potential is larger than 3.42 V can the AMCI appear. Because the value 3.42 V is the bulk redox potential of LFP, so we infer that the 3.31 V is the surface redox potential for LFP surface, which was later demonstrated.

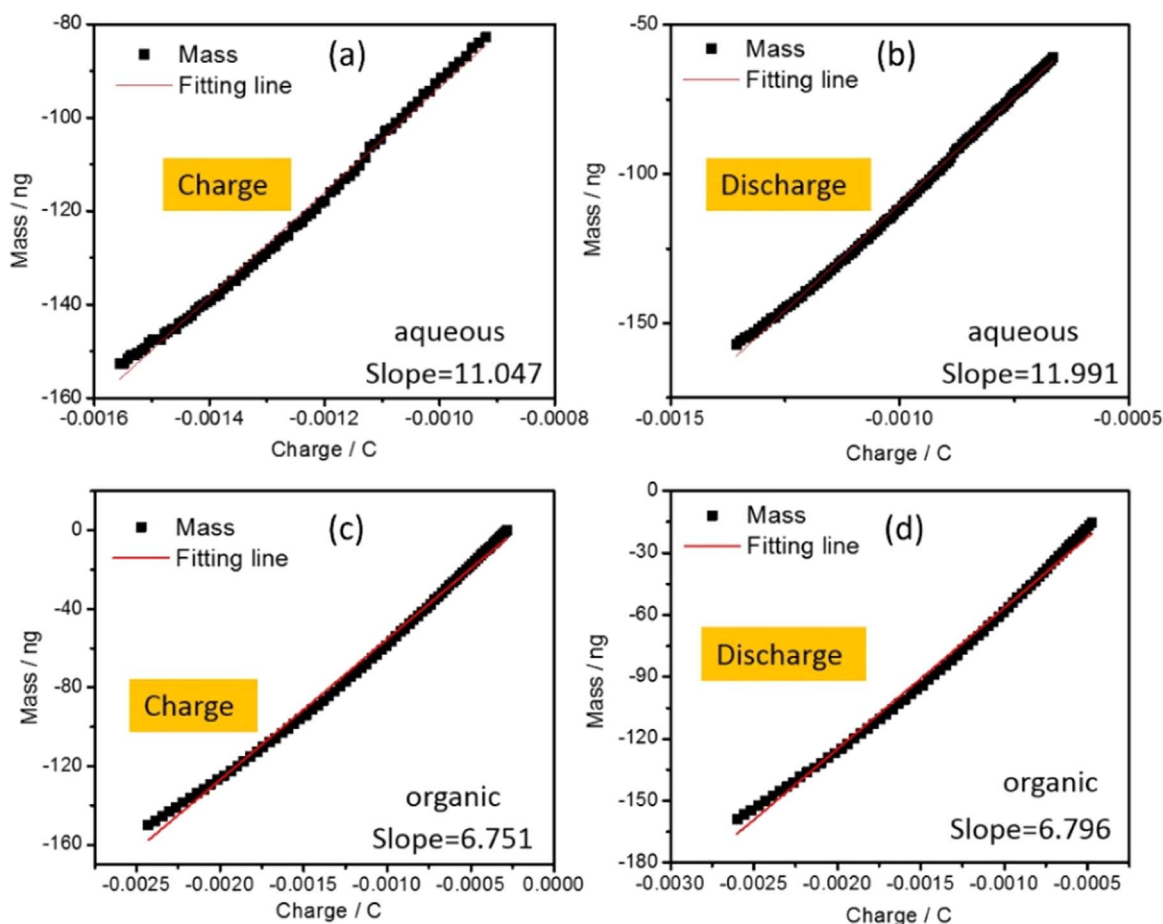
For better understanding the AMCI, the mass-charge curves was studied. For the 100 nm LFP nanocrystals in the aqueous electrolyte, has a slope of about  $11.04 \text{ g mol}^{-1}$  during the charge process (Fig. 2a) and  $11.99 \text{ g mol}^{-1}$  during the discharge process (Fig. 2b). While for the organic electrolyte system, the slope is  $6.75 \text{ g mol}^{-1}$  during the charge process (Fig. 2c) and  $6.79 \text{ g mol}^{-1}$  during the discharge process (Fig. 2d), both values are very close to the theoretical value of

$6.94 \text{ g mol}^{-1}$ . For the 40 nm LFP nanocrystals in aqueous electrolyte, the mass-charge curve of shows a larger slope of  $13 \text{ g mol}^{-1}$  (Fig. S7a, b).

Using the same way that is applied to the LFP, the EQCM tests to 100 nm NFP nanocrystals confirmed the above calculation results. Different from LFP nanocrystals, we can see that NFP nanocrystals in both organic and aqueous electrolytes, there is no AMCI being detected on the mass-potential curve (Fig. 3). The mass begins decrease only when the potential is larger than 2.9 V, which is the bulk voltage. The mass-charge curve for NFP nanocrystals in organic electrolytes is around  $25 \text{ g mol}^{-1}$  (Fig. 4c, d), very close to the theoretical value of  $22.99 \text{ g mol}^{-1}$ . While in aqueous electrolytes, NFP nanocrystals show larger slopes of  $31\text{--}33 \text{ g mol}^{-1}$  (Fig. 4). In addition, to make clear whether the carbon black in the electrode contribute to the occurrence of AMCI, the EQCM test was further applied to the half cell with carbon black as cathode instead of LFP. As shown in Fig. S8, it revealed that the mass keeps almost constant within the potential range of LFP, meaning that carbon black contribute little to the mass change.

We integrate the internal area of CV curve (Fig. S9) under the voltage of 3.42 V to get the capacity below the normal bulk potential (i.e., the potential platform of LFP, 3.42 V) in the charge process. It can be found that the area below 3.42 V (yellow shadowed) occupies 7.6% for 100 nm and 9.8% for the 40 nm LFP nanocrystals. The later one is larger because of the larger surface areas and more surficial Li-ions of 40 nm LFP compared to the 100 nm LFP.

As indicated by all the experimental results above, the AMCI in the mass-potential curve is a unique property for LFP nanocrystals in aqueous electrolytes. As the AMCI could be detected in the aqueous system but not in the organic system, so the difference between



**Fig. 2.** (a–d) Illustrated the mass-charge curve measured by EQCM during the charge/discharge process of the 100 nm  $\text{LiFePO}_4$  particles in aqueous and organic system, respectively. Slope denotes the change of mass induced by every molar electrons in the aqueous electrolyte and the organic electrolyte, respectively.



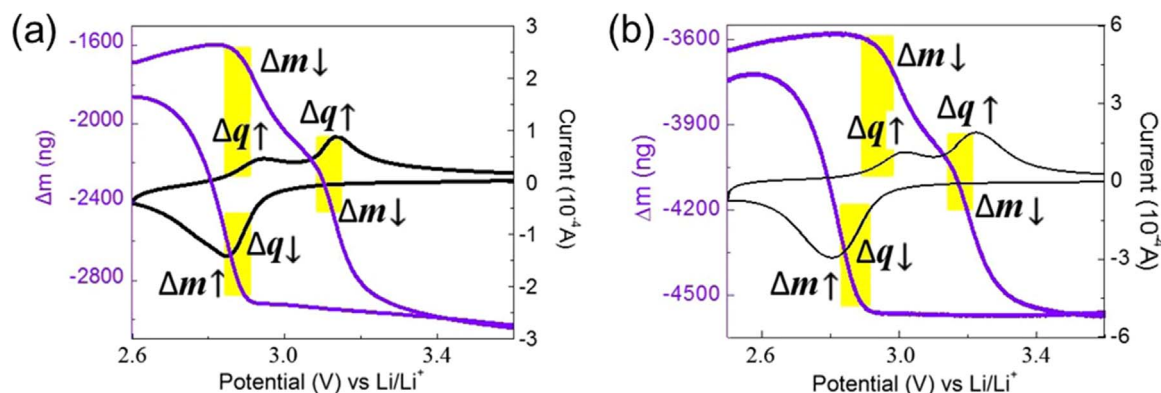


Fig. 3. The monotonic mass-potential curve and CV scan measured by EQCM for the 100 nm NaFePO<sub>4</sub> nanocrystals in (a) aqueous and (b) organic electrolytes.

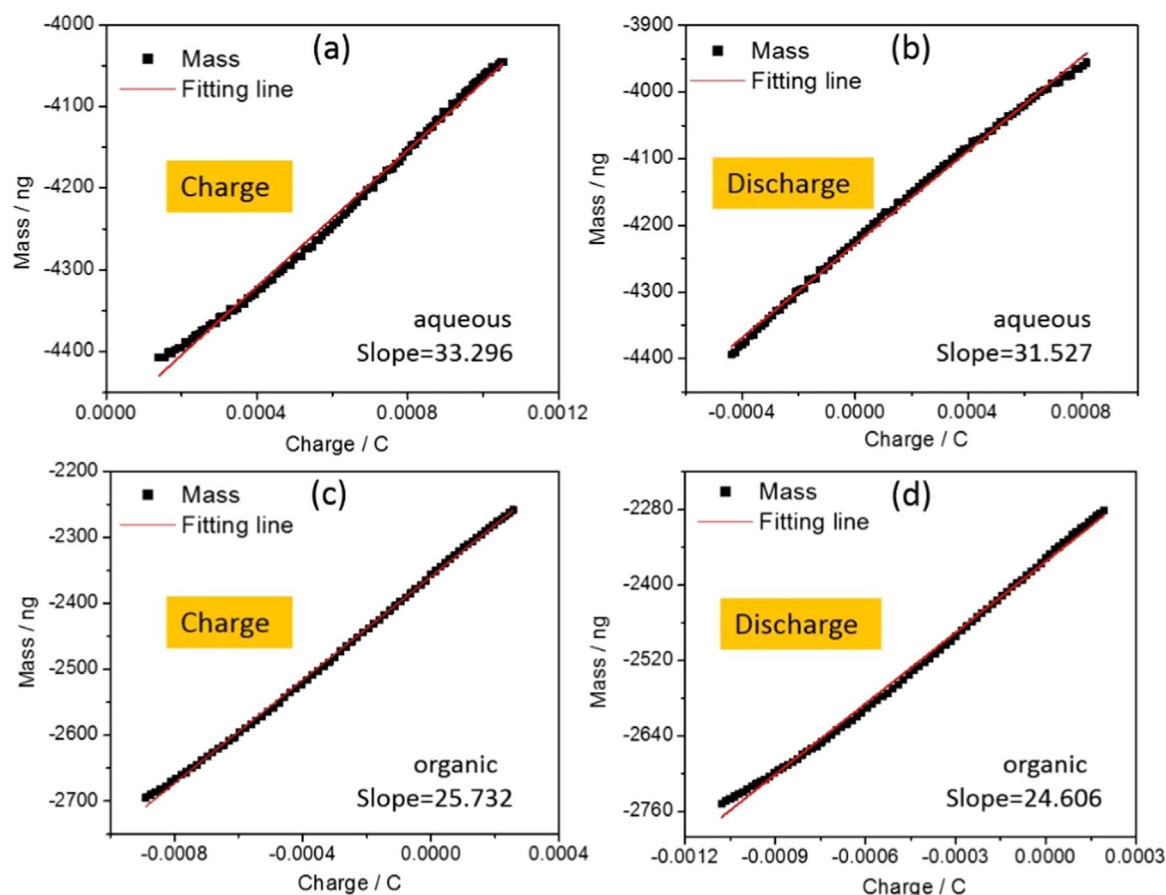


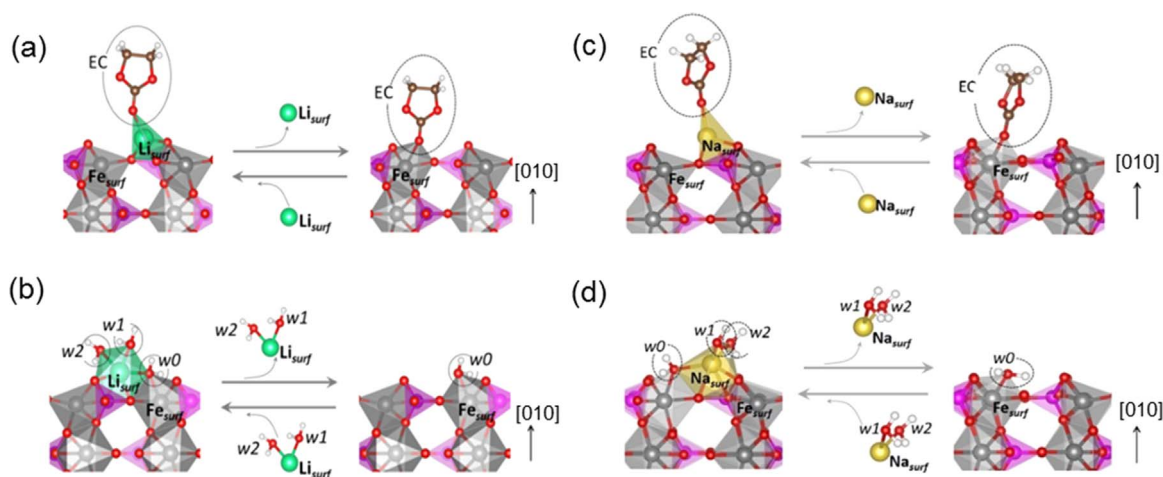
Fig. 4. (a–d) Illustrated the mass-charge curve measured by EQCM during the charge/discharge process of the 100 nm NaFePO<sub>4</sub> nanocrystals in aqueous and organic system, respectively. Slope denotes the change of mass induced by every molar electrons in the aqueous electrolyte and the organic electrolyte, respectively.

electrolytes is a cause of the AMCI. Besides, the carbon black is a suspected cause of the AMCI, because the EQCM electrodes are a mixture of LiFePO<sub>4</sub> and carbon black. Based on all the above analysis, we come to conclusion that it is the LFP and the water electrolyte that together lead to the AMCIs, and the final causes of the AMCI lie in the LFP (010)/H<sub>2</sub>O solid-liquid interface.

### 3.2. DFT analysis of the structure and surficial potential of LFP (NFP)/electrolyte interface

To comprehensively understand the different mass-electrochemical performance of different working system, especially the anomalous mass change interval, DFT calculations for the LFP/electrolyte interface. As the LFP (010) surface is demonstrated to be the mostly

exposed surface by both previous reports and TEM image, The following DFT analysis are all conducted on the basis of LFP (010), which is normal to the well-known [010] Li diffusion channel [33] (Fig. S11). For the pure (010) surface, the surficial Li ion ( $\text{Li}_{\text{surf}}$ ) is broken from six fold to three fold and surficial Fe ion ( $\text{Fe}_{\text{surf}}$ ) is broken from the six fold to five fold. For the organic electrolyte system, EC (ethylene carbonate) molecules are chosen as the contact solvent molecules, because it is the preferred solvent member in the primary solvation sheath of Li<sup>+</sup> despite the dominant DMC (dimethyl carbonate) presence [36]. For the LFP (010)/EC interface (Fig. 5a), every surficial Li atom, named as  $\text{Li}_{\text{surf}}$ , adsorbs only one EC molecule. While the surficial Li atom ( $\text{Fe}_{\text{surf}}$ ) doesn't adsorb EC, because of the strong space steric hindrance caused by EC molecule's large size. If  $\text{Li}_{\text{surf}}$  is removed, the accompanied EC is removed too. Then the space steric hindrance on



**Fig. 5.** (a) Scheme of the adsorption (right arrow) and desorption process (left arrow) of the surficial Li ion ( $\text{Li}_{\text{surf}}$ ) on the FPO (010) surface in the EC electrolyte. The left picture shows that every  $\text{Li}_{\text{surf}}$  adsorbs one EC molecule, forming a  $[\text{LiO}_4]$  tetrahedron, and the surficial Fe-ion ( $\text{Fe}_{\text{surf}}$ ) don't adsorb anything. The right picture shows the interface without  $\text{Li}_{\text{surf}}$ , where the  $\text{Fe}_{\text{surf}}$  adsorbs one EC and completes its six-fold coordination. (b) Scheme of the adsorption (right arrow) and desorption process (left arrow) of  $\text{Li}_{\text{surf}}$  on the FPO (010) surface in aqueous electrolyte. The adsorption/desorption of  $\text{Li}_{\text{surf}}$  is accompanied by two  $\text{H}_2\text{O}$ . The left picture shows that the  $\text{Li}_{\text{surf}}$  adsorbs three water molecule (ie.  $w0$ ,  $w1$ , and  $w2$ ) with  $w0$  shared by the surficial  $\text{Fe}_{\text{surf}}$ , and the six-fold coordination of both Li and  $\text{Fe}_{\text{surf}}$  are completed. The right picture illustrates the surface structure without  $\text{Li}_{\text{surf}}$ , where the  $\text{Fe}_{\text{surf}}$  adsorbs one  $\text{H}_2\text{O}$  and completes its six-fold coordination. (c) and (d) Scheme of the adsorption (right arrow) and desorption process (left arrow) of the surficial Na ion ( $\text{Na}_{\text{surf}}$ ) on the FPO (010) surface in the EC electrolyte (c) and aqueous electrolyte (d), which are similar to the LFP cases.

this site disappeared, allowing the  $\text{Fe}_{\text{surf}}$  adsorbs one EC. As a whole, the amounts of EC molecules adsorbing on LFP (010) keep constant and the mass change of the surface is merely contributed by Li ions. Therefore, the mass change during the intercalated/deintercalated of Li ions has a theoretical mass-charge slope of  $6.94 \text{ g mol}^{-1}$ , which approximately equalled the experimental mass-charge slope ( $6.80 \text{ g mol}^{-1}$ ) for LFP in organic system (Fig. 2a, b).

While the LFP (010)/ $\text{H}_2\text{O}$  interface is reconstructed where every  $\text{Li}_{\text{surf}}$  adsorbs three water molecule, named as  $w0$ ,  $w1$ , and  $w2$  in Fig. 5b, and  $w0$  is also shared by  $\text{Fe}_{\text{surf}}$ . Thus the adsorbed waters fulfill the six-fold coordination for both the  $\text{Li}_{\text{surf}}$  and the  $\text{Fe}_{\text{surf}}$ . If the  $\text{Li}_{\text{surf}}$  is removed, two of the three adsorbed water molecules  $w1$  and  $w2$  accompany, while the shared  $w0$  alone keeps unmoved, adsorbing on the  $\text{Fe}_{\text{surf}}$ . Therefore, the adsorption/desorption process of a surficial Li-ion could be effectively considered as a  $\text{Li}^+(\text{H}_2\text{O})_2$  group. A Li atom has light atomic mass of 6.94, while the group  $\text{Li}^+(\text{H}_2\text{O})_2$  has the atomic mass of 42.9, which drastically magnified the mass change caused by the adsorption/desorption of the surficial Li ion. This magnification effect is a key element leading to the obviously detected AMCI around 3.42 V in the charge process and 3.40 in the discharge process, as what was highlighted in Fig. 1d. Using the same methods, we get the similar interface for the NFP nanocrystals in aqueous electrolytes (Fig. 5c, d). This LFP (010)/ $\text{H}_2\text{O}$  interface where a surficial Li atom adsorbing three water molecule is supported by the Fourier transform infrared (FTIR) spectra, which presented an additional small peak around  $2800 \text{ cm}^{-1}$  that was qualitatively in agreement with the DFT simulated modes O-H stretching mode of  $2500 \text{ cm}^{-1}$  on the basis of the LFP (010)/ $\text{H}_2\text{O}$  interface (see Section S9 in the Supporting information).

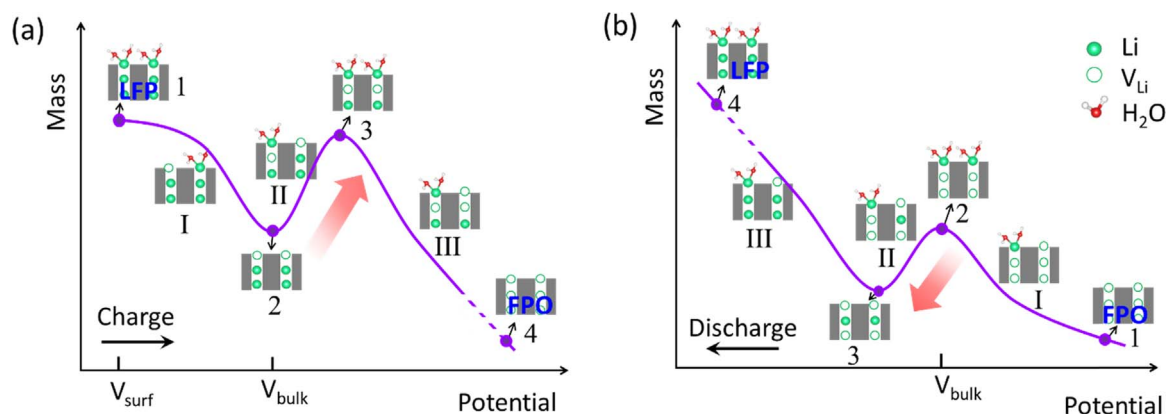
The surface redox potentials were calculated on the basis of the different structures described above. For the LFP (010) vacuum surface The surface redox potential is calculated to be 2.92 V relative to Li metal, 0.57 V lower than the calculated bulk potential 3.49 V, which is similar to the surficial redox potential reported by Wang et al. [13]. While for the LFP (010)/ $\text{H}_2\text{O}$  interface in the aqueous system, using the method discussed in the Supporting information, the surface redox potential of LFP (010)/ $\text{H}_2\text{O}$  interface is calculated to be 3.25 V, lower than the bulk value by 0.24 V, which is nearly congruent with the former inferred surficial potential (3.31 V) from the mass-potential curve. For NFP in aqueous electrolyte, the surface redox potential of  $\text{NaFePO}_4$  (010)/ $\text{H}_2\text{O}$  interface is calculated to be 2.98 V, while the bulk

potential is calculated to be 3.01 V. The potential difference is only 0.03 V.

### 3.3. Discussion about the electrochemical process the LFP/ $\text{H}_2\text{O}$ interface

For LFP in aqueous electrolyte, the above theoretical analysis illustrates that the mass change of a surficial Li ion in the adsorption/desorption process is amplified by two accompanied water and the surface redox potential ( $V_{\text{surf}}$ ) is 0.24 V lower than the bulk ( $V_{\text{bulk}}$ ). On the other hand, it has long been disputed that whether the rate performance of LFP is limited by  $\text{Li}^+$ -intercalation rate within the particles or the transports of  $\text{Li}^+$  through the interface [37–39]. Previous work has identified that, with nanosized particles, the limiting step is no longer the  $\text{Li}^+$ -intercalation rate within the particles but rather the transports of  $\text{Li}^+$  and electrons to or from them [40–42]. In this work, LFP nanoparticles on the electrode are well distributed coating with carbon black and they sparsely scattered on the EQCM electrode compared to the conventional LFP cathodes used in LIBs, thus it's likely that the Li ions at the interface would transfer faster than the bulk one. Accordingly, the AMCI around 3.42 V of LFP electrode in aqueous electrolyte can be explained as following.

During the charging process, the potential increases gradually and provides the external driving force for Li ion deintercalation. At the beginning of the charging process when the potential is less than  $V_{\text{surf}}$ , the surficial Li ions keep staying on the LFP (010)/ $\text{H}_2\text{O}$  interface in the form of  $\text{Li}(\text{H}_2\text{O})_2$  with two water molecules adsorbing (state 1 in Fig. 6a). As the potential increases to  $V_{\text{surf}}$  and below  $V_{\text{bulk}}$  (stage I), the surficial  $\text{Li}(\text{H}_2\text{O})_2$  gains enough force to desorb from the surface, but the bulk Li-ions keep unmoved, and hence the mass decreases. Because the desorption of a surficial Li ion is amplified by two accompanied water, the mass decrease at this stage is significantly. The final state of stage I is illustrated as state 2 with surficial Li-ions all desorbed. As the potential increases above  $V_{\text{bulk}}$ , the bulk Li ions begin deintercalation. Once a subsurface Li comes to the surface site, two  $\text{H}_2\text{O}$  molecule will come to adsorb to it, forming  $\text{Li}(\text{H}_2\text{O})_2$ . Given the premise that the surficial desorption and solvation rate is slower than that of the bulk diffusion rate, the interface will soon reach a dynamic equilibrium with the end state illustrated as state 3. We called this stage as stage II. At this stage, the total mass increases due to the adsorption of water molecules. After the dynamic equilibrium process, this charging



**Fig. 6.** (a) The simulated mass-potential curve of the charging process for  $\text{LiFePO}_4$  in aqueous system at different stages. The high-lighted stage (II) illustrates that the re-adsorption of water molecule on the (010) surface leads to the abnormally mass increase. (b) The simulated mass-potential curve of the discharging process in aqueous system at different stages. The highlighted stage II illustrates that the re-desorption of water molecule on the (010) surface leads to the abnormally mass decrease.

process comes to the final stage III, where the inner Li ions deintercalate steadily and the electrode change from  $\text{Li}_{1-x}\text{FePO}_4$  to  $\text{FePO}_4$  (FPO).

During the discharging process (Fig. 6b), the higher the potential, the less driving force Li ions get from the electric field to move towards the cathode surface. When the potential is above  $V_{\text{bulk}}$  (stage I), Li ion couldn't intercalate into the FPO cathode. But the Li-ions could desolvate and adsorb on the FPO surface with the accompanied absorption of two  $\text{H}_2\text{O}$ , as illustrated as state 2. At this stage, the mass increases. When the potential is less than  $V_{\text{bulk}}$ , surficial Li ions begin to intercalate into the bulk LFP, and meanwhile the accompanying two  $\text{H}_2\text{O}$  desorb and move away. Given that the desolvation process and adsorption rate is slower than the intercalation rate for Li-ions, the amount of surficial  $\text{Li}^+(\text{H}_2\text{O})_2$  decreases and thus results in total mass decrease (stage II). In the following stage III, the Li ions intercalate into the bulk continuously, and the surface reaches a dynamic equilibrium, leading to continuous mass increase. According to the LFP (010)/ $\text{H}_2\text{O}$  interface above, every surficial Li ion tightly adsorb three water molecules (Section S8 in the [Supporting information](#)) and they contribute to mass of the electrode which can be detected by EQCM. For the LFP (010) surface, larger specific surface area corresponds to more surficial Li atoms and hence more adsorbed water molecules, leading to larger slope of mass-charge curve during the lithiation/delithiation process. Therefore, in aqueous electrolyte, the mass-charge curve of 40 nm LFP shows a slope of  $13 \text{ g mol}^{-1}$  which is larger than  $6.75 \text{ g mol}^{-1}$  for the 100 nm LFP.

While for NFP in aqueous electrolyte, the surface redox potential of  $\text{NaFePO}_4$  (010)/ $\text{H}_2\text{O}$  interface is calculated to be 0.03 V lower than the bulk potential, and this potential difference is too small that the insertion/desertion of surficial Na ion and bulk one occur almost synchronously, therefore the mass variation of surficial Na ion would be hard to be detected separately by EQCM. On the other hand, the rate performance of NFP is usually not as good as LFP, with larger polarization and less capacity retention at high charge/discharge rate. All these reasons make NFP has a much less sensitive mass-potential curve, and thus no AMCI was observed. The well agreement between the theoretical predicted and the experimentally measured mass-potential curve and the theoretically predicted one approves that the surface redox potential of LFP is lower than the bulk potential and the desolvation/solvation rate of surficial Li-ion is lower than the bulk Li-ion diffusion rate.

#### 4. Conclusions

We prepared the electrode that is binder-free and only made of a mixture of LFP nanocrystals and carbon black, which made sure that the pores is as large as enough. EQCM test was performed to provides a

deep understanding of the solid-liquid interfacial properties of LFP and NFP nanocrystals. In organic electrolyte, LFP (NFP) cathode's mass decreases/increases during the charge/discharge process because of deintercalation/intercalation of Li (Na) ions, which is a normal phenomenon which is generally known. However, mass-potential curve for LFP nanocrystals in aqueous electrolyte show an anomalous mass change around 3.42 V (vs.  $\text{Li/Li}^+$ ) where the cathode's mass increase in the charging process and mass decrease in the discharging process, which doesn't obey the normal law of mass change. Through DFT calculations, we gain a microscopic picture of the solid-liquid interface structure with a reconstructed LFP (010)/ $\text{H}_2\text{O}$  and NFP (010)/ $\text{H}_2\text{O}$  interface. For the LFP (010) vacuum surface The surface redox potential is calculated to be 2.92 V relative to Li metal, 0.57 V lower than the calculated bulk potential 3.49 V. For NFP in aqueous electrolyte, the surface redox potential of  $\text{NaFePO}_4$  (010)/ $\text{H}_2\text{O}$  interface is calculated to be 2.98 V, while the bulk potential is calculated to be 3.01 V. Taken together, it's demonstrated that the surface redox potential of LFP is around 3.31 V, which is lower than the bulk potential (3.42 V). In addition, on the basis of the DFT calculated interface model, we obtain the inference that the desolvation/solvation rate of surficial Li-ion is lower than the bulk Li-ion diffusion rate.

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#### Appendix A. Supplementary material

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.nanoen.2017.05.019](https://doi.org/10.1016/j.nanoen.2017.05.019).

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