

Review

Depolarization effect to enhance the performance of lithium ions batteries

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ABSTRACT

To meet the future challenges of energy storage, rechargeable lithium ions batteries (LIBs) have attracted great interest. Polarization of LIB electrodes and related active materials is a general problem for LIB applications during cycling, which leads to inhomogeneous environments for LIB electrodes and related active materials and degrades the performance of LIBs (e.g., capacity and voltage, rate capability, and capacity retention during electrochemical cycling). In this article, we offer a review of mechanisms of polarization and strategies of depolarization of LIB active cathode and anode materials and electrodes, including metal doping, nanostructure design, materials compositing, surface and interface engineering, and some other new technologies.

1. Introduction

Rechargeable lithium-ion batteries (LIBs) power most of today's portable electronics and are increasingly in demand for electrical vehicles and grid energy storage, due to the relatively high energy density, good cycle life, and good power performance of these batteries [1–3]. Though LIBs have attained great commercial success, there is still opportunity to improve their performance, for example, by understanding mechanism of the polarization and reducing the polarization (depolarization) in cathode and anode electrodes and related active materials during cycling, improving the thermal stability and safety, and reducing the cost. In particular, polarization, which leads to inhomogeneous environments for LIB electrodes and related active materials, is a general problem for LIB applications during cycling [4–7], especially in the active materials with poor electrical conductivity and Li-ion diffusivity, poor structural stability, and transition-metal cation dissolution, which degrades the performance (capacity, rate performance, and cycling stability) of the batteries significantly. Thus, methods are being sought to understand mechanism of the polarization and to reduce the polarization effect in electrodes and related active materials in order to improve the battery performance.

At present, the methods being developed to reduce the polarization in electrode materials include use of carbon coatings [8], metal cation doping [4], device optimization [9], nanostructuring [10], materials compositing [11], surface and interface engineering [12], and morphology control [13]. As an example, nanostructure design is a widely employed and of particular importance for designing and fabricating nanostructured electrodes [14,15]. Nanocrystallites have received

much attention as promising electrode materials for energy storage [16–18]. They benefit from the reduction of the Li-ion diffusion path length, which greatly decreases the polarization in electrode materials such as LiMPO_4 ($M=\text{Fe, Mn, and Co}$) and allows increase of the charge/discharge rate, as well as reduces the electron diffusion length in such nonconductive materials. As another example of materials compositing, to reduce the polarization in anode materials, graphene is widely used in hybrid nanocomposites such as Fe_3O_4 -graphene [19] and Si-graphene nanocomposite [20].

In this article, we review mechanisms to generate the polarization and strategies to induce the depolarization effect in electrode materials to enhance the performance of LIBs. We first describe the polarization phenomenon in electrode materials and the damage that it can cause to the battery performance. Then, the main technologies in enhancing depolarization are introduced: metal doping, nanostructure design, materials compositing, surface and interface engineering, and some other new technologies.

2. Polarization in electrode materials

Take the intercalation/deintercalation of Li ions into/from the cathode materials. This process involves (i) lithium-ion diffusion in the bulk of the cathode material, (ii) charge transfer reaction, (iii) lithium-ion diffusion through the electrode/electrolyte solid-liquid interface, and (iv) lithium-ion diffusion in the electrolyte [7]. Polarization would happen in every step: diffusion polarization in (i) and (iv), ohmic polarization in (ii), and activation polarization in (iii). The step with the slowest kinetics leads to the largest polarization,

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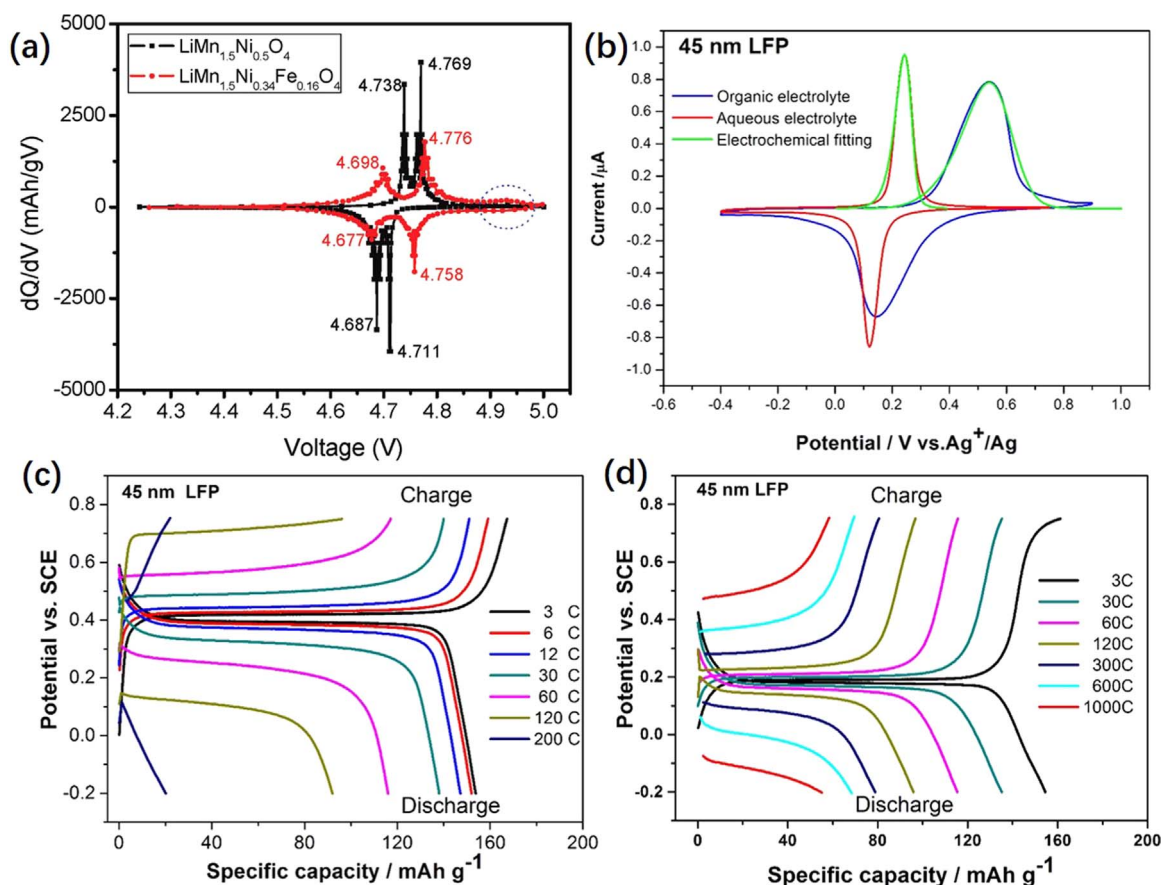


Fig. 1. Polarization effect in electrode materials. (a) dQ/dV vs. voltage of $\text{LiMn}_{1.5}\text{Ni}_{0.5}\text{O}_4$ and $\text{LiMn}_{1.5}\text{Ni}_{0.34}\text{Fe}_{0.16}\text{O}_4$. (b) Cyclic voltammograms for 45-nm LiFePO_4 (LFP) nanoparticles in 0.5 M Li_2SO_4 aqueous electrolyte and in 1 mol L^{-1} LiClO_4 organic electrolyte (a mixture of ethylene carbonate and dimethyl carbonate) at scan rate of 20 mV s^{-1} . A Pt wire is used as the counter electrode and Ag/AgCl as the reference electrode. Note that separations between cathodic and anodic peaks in aqueous electrolyte ($\sim 0.1 \text{ V}$) are much smaller than those in non-aqueous ($\sim 0.5 \text{ V}$) electrolyte. (c) Same curves for an organic electrolyte (1 M LiClO_4 electrolyte in a mixture of ethylene carbonate and dimethyl carbonate). (d) Charge and discharge curves at different current densities (1 C = 170 mA g^{-1}) for cells cycled between -0.2 and 0.75 V (vs. SCE) in 0.5 M Li_2SO_4 aqueous electrolyte. Reprinted with permission from Refs. [7,21].

which would dominate the whole electrochemical performance and become rate determining.

The polarization effect is usually reflected in cyclic voltammograms (CVs), differential chronopotentiograms, and charge-discharge curves during electrochemical tests [7]. Fig. 1 present examples of the polarization effect for different cathode materials. One is $\text{LiMn}_{1.5}\text{Ni}_{0.5}\text{O}_4$ [7], where a large difference in potential between the anodic and cathodic peaks can be observed in differential chronopotentiograms (Fig. 1a). This can be attributed to poor electronic conductivity and Li-ion diffusivity in the bulk cathode and Mn dissolution to form a thick solid-electrolyte interfacial (SEI) layer, which negatively affects the charge transfer and the Li-ion diffusion in the bulk and at the electrode/electrolyte interface.

The other cathode material is 45-nm LiFePO_4 nanocrystals in organic electrolyte (1 M LiClO_4 electrolyte in a mixture of ethylene carbonate and dimethyl carbonate) [21], where a difference of around 0.5 V in potential is observed between the anodic and cathodic peaks in the CVs (Fig. 1b). This difference is attributed to the slow Li-ion transport across the electrode/electrolyte interface. The polarization effect would become more significant with increasing charge and discharge rate or after a greater numbers of cycles. Fig. 1c shows charge and discharge curves for LiFePO_4 nanocrystals at various current densities in the organic electrolyte (1 M LiClO_4 electrolyte in a mixture of ethylene carbonate and dimethyl carbonate). The curves indicate that with increasing current density, the polarization effect (voltage gap between the charge and discharge platform) becomes more serious. While cycling in aqueous electrolyte, the 45-nm LiFePO_4 nanocrystals show a reduced polarization effect with much smaller

difference in potential between the anodic and cathodic peaks (Fig. 1b), which was also shown in the charge and discharge curves (Fig. 1d).

Such polarization in electrode materials would slow the whole lithium insertion/extraction kinetics and degrade the battery performance in four ways. The first is that the rate performance would be reduced and thereby decrease the power density of batteries. The second is that the round-trip efficiency for energy conversion during the operation would be reduced, leading to more energy wasted. The third is that the cycling stability would be reduced, as the long-existing polarization would affect the structural stability of the cathode materials and the SEI layer. The fourth is that the polarization would prevent obtaining detailed electrochemical information in electrochemical tests (e.g., CVs), which makes it hard to clarify the cycling mechanisms (e.g., Li-ion diffusivity and the redox process for the transition metals) in batteries.

Methods to reduce the polarization effect (or to enhance the depolarization effect) are focused on improving the kinetics in the above four processes during the intercalation/deintercalation of Li ions into/from the electrode materials. In the next sections, we discuss the main strategies employed to enhance the depolarization of electrode materials.

3. Metal doping

Fig. 1a also shows that after Fe substitution in $\text{LiMn}_{1.5}\text{Ni}_{0.5}\text{O}_4$, the difference in potential between the anodic and cathodic peaks in $\text{LiMn}_{1.5}\text{Ni}_{0.34}\text{Fe}_{0.16}\text{O}_4$ becomes much smaller, which indicates a depolarization effect and can be attributed to the enhancement in electronic

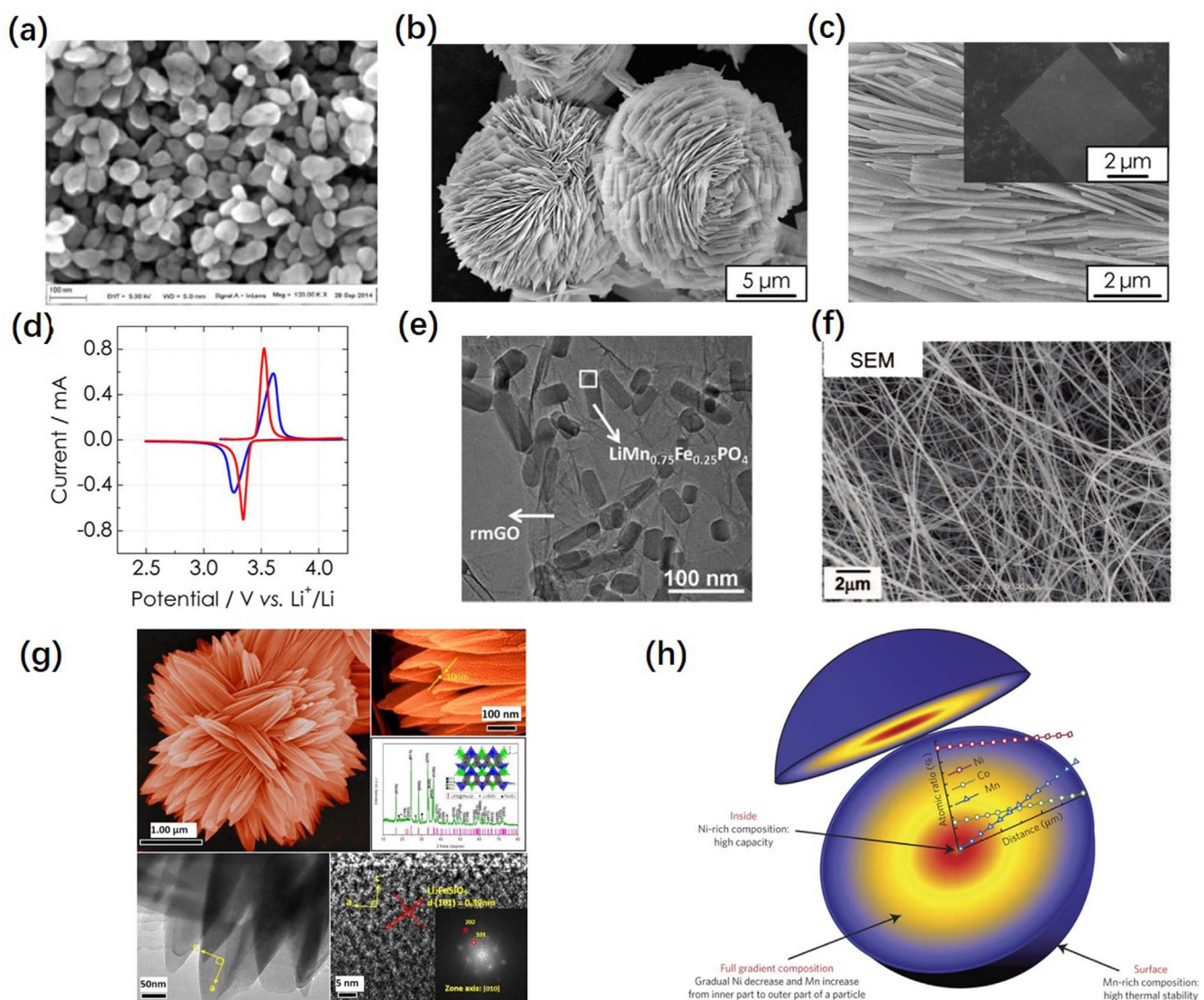


Fig. 2. Nanostructured cathode materials. (a) LiFePO_4 nanoparticles, (b) and (c) LiFePO_4 nanosheets, (d) Cyclic voltammetric profiles of LiFePO_4 nanosheets (red) and commercial LiFePO_4/C powders (blue) at a fixed scan rate of 0.1 mV s^{-1} . (e) $\text{LiMn}_{0.75}\text{Fe}_{0.25}\text{PO}_4$ nanorods, (f) LiMn_2O_4 nanowires, (g) d-hierarchical flower-like $\text{Li}_2\text{FeSiO}_4$ with secondary nanopetals, and (h) full-concentration gradient cathodes with the concentration of nickel continuously decreasing from the center to the surface. Reprinted with permission from Refs. [21,28,30,33,36,39].

conductivity and the suppression of the Mn dissolution after Fe substitution [7].

The cathode material LiFePO_4 was first recognized by Goodenough [22] and was initially relegated to low-rate applications because of the slow kinetics associated with propagating a two-phase interface within an active particle. Nevertheless, due to its favorable electrochemical potential, low toxicity, low cost, and the abundance of iron, LiFePO_4 has attracted great interest to determine the kinetics and develop ways to improve the rate performance. The recent studies on the electronic structure of LiFePO_4 give critical insights on the transport mechanism inside LiFePO_4 for further development of high-performance LiFePO_4 cathodes. For a crystal material, the electronic structure is closely correlated with its crystal structure. In LiFePO_4 crystal structure, $[\text{FeO}_6]$ octahedrons are connected by sharing O corners to form a 2D network in bc plane, and the $[\text{PO}_4]$ tetrahedrons are physically separated by $[\text{FeO}_6]$ octahedrons and act the joints to connect adjunct $[\text{FeO}_6]$ planes. Therefore, the diffusion of electrons into and out of LiFePO_4 has to rely on the $[\text{FeO}_6]$ 2D framework through the electron transfer through the Fe–O bonds. Nevertheless, there are strong covalent bonds between P and O with a short bond length ($\sim 1.55 \text{ \AA}$), and the electron density along the Fe–O bonding direction is signifi-

cantly lower than that in P–O bonding direction. Thus the Fe–O bonds have more ionic characteristics with a substantially longer bond length ($\sim 2.269 \text{ \AA}$). The localization electron density on O centers and Fe centers separately increases the energy barrier for electron hopping between O and Fe, which is the active electrochemical center, leading to a low electronic conductivity. Thus, to improve the electronic conductivity of LiFePO_4 , we need to delocalize the electron density on O centers and Fe centers or introduce extra itinerate electrons into LiFePO_4 by doping foreign elements. Chiang et al. demonstrated that controlled cation non-stoichiometry combined with solid-solution doping by metals supervalent to Li^+ increases the electronic conductivity of LiFePO_4 by a factor of $\sim 10^8$, resulting in materials capable of being charged/discharged with an extremely high current up to 20 C, alternatively to complete charge/discharge the battery in less than 3 min [4]. Using first principles calculations, Shi et al. reported that the electronic conductivity of LiFePO_4 can be enhanced by Cr doping at Li sites, which was further confirmed by their experiments: for $\text{Li}_{1-3x}\text{Cr}_x\text{FePO}_4$ with $x=0.01$ and 0.03 , an enhancement of the electronic conductivity up to eight orders of magnitude comparing with pure LiFePO_4 [23].

4. Nanostructure design

Rational design and manipulation of nanostructures, including the phase, size, and morphology, play crucial roles in enhancing the depolarization effect in electrode materials. Therefore, significant efforts have been devoted to phase- and morphology-controlled synthesis to explore novel properties and device performance.

4.1. Nanostructured cathode materials

Ab initio calculations predicted a fast diffusion coefficient for Li^+ in 1D channels along the (010) direction in LiFePO_4 [24,25], indicating the possibility to high rate applications (e.g., power tools, electrified vehicles, power grid). However, the occupation of Fe ions in lithium sites (anti-site defects), as commonly found in LiFePO_4 , can block the 1D diffusion channels and prevent Li^+ from hopping through the crystal structure. Moreover, Li-ion diffusion along the (001) direction is much slower, offering a sluggish way out to the blocked lithium and resulting in high lithium polarization and low rate capability [26]. Malik et al. reported that the reduction in the particle size of LiFePO_4 to a critical value (below 50 nm) can substantially reduce the amount of trapped lithium and reduce the effect of the sluggish (001) diffusion channel for full activation of the lithium in the cathode material [26]. As a result, decreasing the size of the LiFePO_4 particles to the nanoscale has been widely employed to enable higher power density. Meanwhile, nanostructured LiFePO_4 can also benefit from the reduction of the electron diffusion length. Many forms of nanostructured LiFePO_4 (Fig. 2a–c), such as nanoplates [13], nanorods [27], nanosheets [28], nanoparticles [21], and microspheres consisting of nanoplates or nanoparticles with an open 3D porous microstructure [29], have shown reduced polarization and high rate performance. All of them show a significant depolarization effect when used as cathode materials for LIBs (Fig. 2d), and the difference in potential between the anodic and cathodic peaks becomes much smaller. It should be noted that the depolarization effect also depends on the morphology of LiFePO_4 nanocrystals. Designing LiFePO_4 nanostructures with high ratio of (010) surface exposure would induce the most significant depolarization effect to improve the performance of LiFePO_4 LIBs [13,28].

Nanostructure design has also been applied to other cathode materials to enhance the depolarization effect. Wang et al. prepared $\text{LiMn}_{1-x}\text{Fe}_x\text{PO}_4$ nanorods on graphene (Fig. 2e) and realized a capacity of 65 mA h g⁻¹ retained at 100 C [30]. Sun et al. synthesized microscale and nanoporous $\text{LiMn}_{0.85}\text{Fe}_{0.15}\text{PO}_4$ cathode material, which achieved high volumetric capacity [31]. The improved performance was attributed to the high tap density and the nanopores that, allowing electrolyte insertion through the particles, significantly reduce the Li-ion diffusion path. Okubo et al. reported that LiCoO_2 nanocrystallites with mean sizes of 17 nm show a capacity of 75 mA h g⁻¹ at a discharge rate of 100 C [32]. Hosono et al. synthesized 50–100 nm LiMn_2O_4 nanowires (Fig. 2f), which achieved a capacity of 88 mA h g⁻¹ at a discharge rate of 135 C [33]. In addition, $\text{Li}_2\text{FeSiO}_4$ is a newly developed cathode material with a large theoretical capacity of 332 mA h g⁻¹. However, due to its poor electrical conductivity and large activation energies for Li-ion diffusion, this material shows a large polarization effect during cycling [34]. Nanostructured $\text{Li}_2\text{FeSiO}_4$ particles is also an efficient method to enhance the depolarization effect and thereby improve the electrochemical performance [35]. Yang et al. prepared d-hierarchical flower-like $\text{Li}_2\text{FeSiO}_4$ with secondary nanoplates (Fig. 2g) [36], which show a significant depolarization effect and exhibit a discharge capacity of 327.2 mA h g⁻¹, approaching the full theoretical capacity with high-current and long-life performance.

Compared with the polarization effect in LiFePO_4 , LiMn_2O_4 , and $\text{Li}_2\text{FeSiO}_4$, this effect in layered $\text{Li}(\text{Ni}_x\text{Mn}_y\text{Co}_z)\text{O}_2$ is usually induced by the chemical instability of the Ni-rich material, the structural transformation, and the Ni/Li mixing during the charge/discharge process. Sun et al. reported a core-shell nanostructure in which a manganese-

rich shell protects the high-capacity nickel-rich core [37]. The manganese-rich phase has a lower reversible capacity but higher chemical stability toward non-aqueous electrolytes than the nickel-rich core. This protects the side reactions between the Ni-rich core and the non-aqueous electrolyte and thereby reduces the polarization effect. However, long-term cycling will result in core-shell separation due to the mismatch of the lattice parameters of the two materials. To eliminate the sudden concentration change between the core and the shell, full-concentration gradient cathode materials with a nanorod structure [38] have been developed [39]. In a typical full-concentration gradient cathode, the concentration of nickel continuously decreases from the center toward the outer surface, while the concentration of the protective shell (manganese or cobalt) increases (Fig. 2h). In a full cell configuration, this material can deliver a reversible specific capacity of more than 200 mA h g⁻¹ and excellent capacity retention for 1000 cycles.

4.2. Nanostructured anode materials

Anode materials for LIBs can be categorized into three groups: (1) insertion/de-insertion materials [40], including graphite [41] and titania [42], (2) alloy/de-alloy materials [43], such as tin and silicon alloys, and (3) conversion materials [44], such as metal oxides, metal sulfides, metal fluorides, and metal phosphides.

Lithium titanate ($\text{Li}_4\text{Ti}_5\text{O}_{12}$, LTO) spinel has proven to be an acceptable alternative to graphite as the anode material because of its outstanding safety characteristics [42]. Lithium ions diffuse into the LTO lattice and occupy the free octahedral sites. Such insertion/de-insertion causes no strain to the host and minimum volumetric change, a very attractive property in anode materials. Most important, unlike graphite, the LTO is inert to the organic electrolyte (due to a relatively high operating potential), with minimal irreversible capacity loss during cycling. Unfortunately, due to its unique crystal structure and large electronic bandgap (2–3 eV) [45], LTO is intrinsically limited by the low electronic and Li-ion conductivity (3×10^{-8} S cm⁻¹ and 1×10^{-12} to 1×10^{-13} S cm⁻¹ at 300 K, respectively). Using LTO nanostructures in anodes significantly reduces the Li-ion diffusion pathway within particles and also increases the exposed active electrode area to the electrolyte, both advantageous features to achieve depolarization and good operating performance. In recent years, many attempts have been made to design efficient nanostructures (i.e., nanowires [46,47], nanoflowers [48], and mesoporous nest-like structures [49]) by adopting new synthetic methods or optimizing existing ones such as solvothermal synthesis [50], molten-salt synthesis [51], and microwave irradiation solid-state reaction [52]. These synthetic approaches are usually accompanied by multivalent ion doping to further increase the electronic conductivity of LTO. Nanostructure design also play a great role to decrease the polarization effect in other intercalation/deintercalation anode materials. For example, Li_3VO_4 has recently attracted much attention as a new insertion-type anode material for LIBs, due to the large capacity and low voltage [53]. However, similar to LTO, the poor electronic conductivity of Li_3VO_4 would definitely lead to a significant polarization effect in electrodes. Nanostructured Li_3VO_4 has been proved to show significant depolarization effect and greatly improved electrochemical performance [53,54].

Silicon has drawn much attention as an anode material [43,55–62], as it offers a theoretical capacity of 3572 mA h g⁻¹, more than one order magnitude higher than that of graphite and LTO. Elemental Si reacts with Li via an alloy/de-alloy mechanism, forming binary Li-Si alloys. However, due to a volumetric change of more than 300% during lithium insertion and extraction, Si-based electrodes typically suffer from poor capacity retention and a rapid reversible capacity loss during cycling. This is because the repeated expansion/contraction in the anode structure leads to particle cracking, active material isolation, and an unstable SEI, which ultimately results in a slowed kinetics for electron transport and Li-ion insertion/extraction and thereby induces

a large polarization effect. Rational design of a variety of nanostructured Si electrodes can provide more free space to accommodate the expansion and buffer the induced volume change during cycling [57], thus preventing the structures from cracking and reducing the polarization effect greatly. The efforts on designing nanostructured Si electrodes have gone through three generations, encompassing solid (e.g., nanowires [63], core-shell nanowires [64], and nanoparticles [65]), hollow (e.g., nanotubes [66] and nanospheres [67]), and clamped hollow nanostructures. These nanostructures are usually directly grown on planar current collectors by chemical vapor deposition, based on a liquid-solid-vapor mechanism. With good electronic contact between the Si nanostructures and the current collector and electrolyte, the reversible capacity of those devices has reached as high as 2000 mA h g^{-1} , and the battery cycling stability has also been significantly improved. Additionally, to overcome the unstable SEI problem, nanoscale material designs have been extensively explored. For example, the Cui group reported a novel yolk-shell design for Si anode to show high capacity with long cycle life and high coulombic efficiency [68], since the well-defined void space allows the Si particles to expand freely without breaking the outer carbon shell, therefore stabilizing the SEI on the shell surface.

Nanostructure design is also applied to other conversion anode materials to enhance the depolarization effect. For example, because of the polarization effect, some metal oxide anode materials (e.g., NiO, CuO, Fe_2O_3 , Fe_3O_4 , and SnO_2) during cycling undergo large volume expansion in charge/discharge processes and exhibit poor electronic conductivity. Similar to the Si anode, the large volume changes of these anode particles would break the SEI and make it re-grow at the solid-liquid interfaces during cycling, leading to slowed Li-ion diffusion and depletion of the Li ions and electrolyte solution. One strategy to accommodate the expansion and buffer the induced volume change during cycling and improve the SEI stability is to create nanohollow structures (e.g., nanotube, core-shell, or yoke-shell structures) [69,70]. We recently synthesized a core-shell structure with graphene as the shell and nano-hollow $\gamma\text{-Fe}_2\text{O}_3$ as the core through a Kirkendall process at room temperature [71]. This anode material exhibits remarkable electrochemical performance: a high reversible capacity of 1095, 833, and 551 mA h g^{-1} at current rates of 0.1 C, 1 C, and 2 C, respectively.

5. Materials compositing

As the polarization effect in many electrode materials (e.g., LiFePO_4 , $\text{Li}_2\text{FeSiO}_4$, LTO, and SnS) is induced by their poor electronic conductivity, mixing the active particles with conductive additives is a widely adopted method to reduce this effect. Carbon coating is the mostly commonly used method to improve the transport of electrons to the active particles, resulting in significant improvements in rate performance [8]. Some nanomaterials (e.g., graphene and carbon nanotube) are used as conductive additives mixed with electrode active particles to form nanocomposite electrode materials, which enhance the depolarization effect.

Graphene nano-sheets, that is, sheets of a honeycomb carbon lattice that are one to five layers thick, exhibit high conductivity, light weight, high mechanical strength, structural flexibility, and large surface area [72,73]. Graphene has been widely used in hybrid nanocomposites as the conductive matrix for electrode materials, such as $\text{LiMn}_{(1-x)}\text{Fe}_x\text{PO}_4$ -graphene [30], $\text{Li}_2\text{FeSiO}_4$ -graphene [35], Fe_3O_4 -graphene (Fig. 3a) [19], and Si-graphene composites [20]. These nanocomposites offer several advantages. Firstly, the ultrathin graphene sheets can act as a barrier to prevent the aggregation of nanoparticles and enhance the cycle performance. Secondly, the porous graphene sheets can provide void space against the volume changes of the particles during lithium-ion insertion/extraction, which can improve the cycling performance. Thirdly, the graphene sheets themselves are active materials for additional Li^+ storage, which is of great benefit to the reversible specific capacity (3–5 layers provide a capacity of about

450 mA h g^{-1}). Finally, the nanoparticles anchored on the surface of the graphene sheets can lead to a high rate performance due to the high electronic conductivity of the graphene sheets and the short path length for Li^+ transport of the nanoparticles. Therefore, it is believed that anode nanocomposites with graphene possess reduced the polarization effect, resulting in improved reversible specific capacity, long cycling life, and good rate capability.

Carbon nanotubes (CNTs) have been usually mixed with active anode or cathode materials to enhance the electron transport kinetics, capacity utilization, and rate performance [74]. At present, the free-standing, binder-free, 3D-carbon nanotube networks prepared by filtration or deposition are considered as the ideal depolarizing structure [75–77]. Although carbon nanotube networks have also been used previously with layered cathode materials for the purpose of improving the electronic conductivity, the focus was only on improving the rate performance in these efforts [78,79]. Interestingly, functionalized CNTs have been reported to show extra capacity as a cathode material [80]. We reported a strong enhancement in capacity for $\text{Li}(\text{Ni}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3})\text{O}_2$ (NCM523) through a novel design concept of interweaving it with single-wall CNTs (Fig. 3b) [11]. We found that the NCM523 and CNT contribute reversible capacity of 250 mA h/g and 50 mA h/g , respectively, over the voltage range 3.0–4.8 V, along with long-term charge/discharge stability in the presence of a high voltage electrolyte. The observed performance improvements stem from the much reduced polarization in the electrode through the CNT network system. Additionally, we showed that the improved conductivity and reduced polarization of the electrode provide unique opportunities for in-depth studies of the cycling mechanism in cathode materials. Our NCM523/CNT electrodes exhibit well-defined two-stage delithiation kinetics, which is consistent with first-principle calculations. These technical advances and fundamental analysis cast new insights into electrode design and provide improved understanding of the charge and mass transport mechanism.

More complex hierarchical structures made of Si-C composites have also been reported [81], where Si nanoparticles are uniformly deposited on carbon black dendritic backbones. In such architecture, both the Si and graphitic carbon are active components, where the carbon plays multiple roles: as a conductive matrix for more efficient charge transfer, as a buffer to accommodate the Si volume change, and as an active Li ion host for improved reversible capacity. These composites can reach a reversible capacity of 1950 mA h g^{-1} [82]. Wet-chemistry synthesis of Si-C nanocomposites provides a low-cost alternative for industrial production [83,84]. To this end, hydro/solvothermal preparation of Si-C nanostructures has been actively pursued, as well as supercritical-fluid-liquid growth for Si nanowires [84]. By coating Si nanowires with carbon, an overall reversible capacity of 1500 mA h g^{-1} was achieved. Finally, processing LTO paste with conductive nanomaterials has resolved the low conductivity issue. The conductive matrix accommodates individual LTO particles, which would otherwise be insulating, providing an efficient electron-transfer pathway [85].

6. Surface and interface engineering

Previous studies also reported that for some electrode materials, the rate-limiting step will be the interfacial reaction rather than the bulk transport for sufficiently small particle sizes [24,86–88], and the Li intercalation rate within the nanoparticles is no longer the limiting step. The slowed kinetics can be attributed to the slow Li-ion transport across the electrode/electrolyte solid-liquid interface, the slow charge transfer at the electrode surface, and the surface structure transformation and decomposition induced by the side reactions with electrolyte, which lead to a significant polarization effect in the charge and discharge. To resolve such slowed kinetics of electrons and Li-ion transport at the electrode surface or interface, methods of surface and interface engineering have been extensively developed.

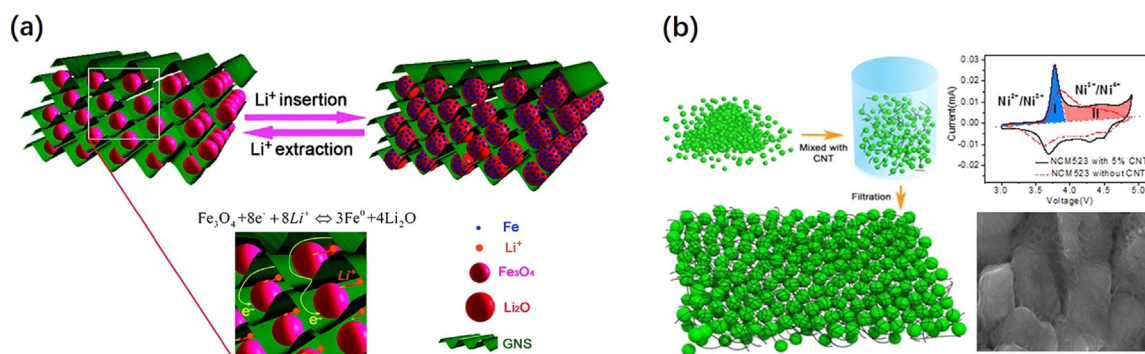


Fig. 3. Nanocomposite electrode materials. (a) Schematic of a flexible interleaved structure consisting of graphene nanosheets and Fe_3O_4 particles. (b) Schematic of bedding $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2})\text{O}_2$ in the single-wall carbon nanotube network. The well-defined two-stage delithiation kinetics in $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2})\text{O}_2$ was enabled by the depolarization and observed clearly. Reprinted with permission from Refs. [19,11].

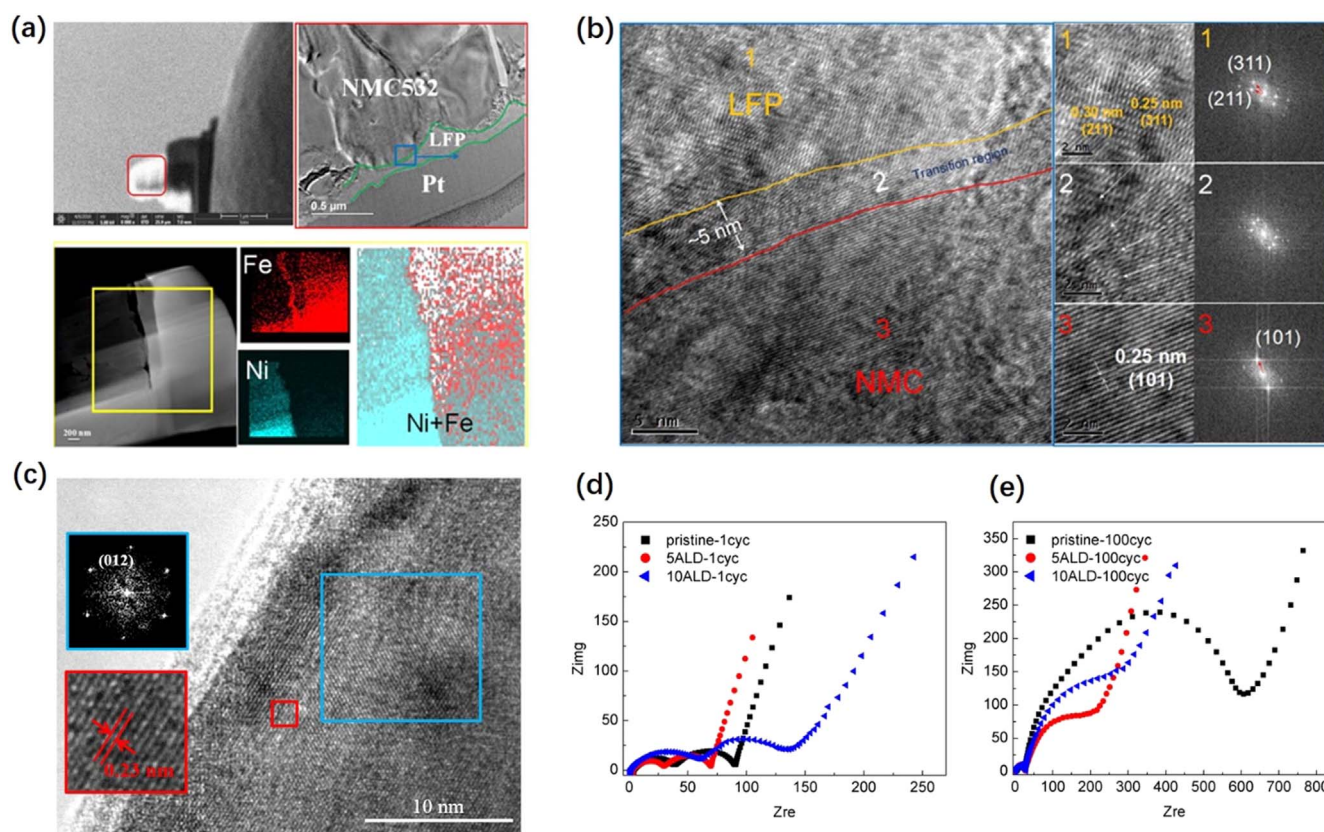


Fig. 4. Surface coating or sub-nanometer coating. (a) and (b) Coating of $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2})\text{O}_2$ particles with LiFePO_4 nanoparticles. TEM image of the interface and the distributions of Ni and Fe at the interface (a). High resolution TEM image of the interface and crystal lattice and electron diffraction images of the locations marked 1, 2, and 3 (b). (c) TEM images of 10-layer ALD $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2})\text{O}_2$ electrodes. (d) and (e) Nyquist plots for different $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2})\text{O}_2$ electrodes (d) after an initial charging to 4.5 V at a 0.1 C rate and (e) after charging to 4.5 V after 100 cycles at a 0.5 C rate. Reprinted with permission from Refs. [109,110].

6.1. Surface coatings

This technology is applied to reduce the polarization effect for the following purposes: (i) to enhance the efficiency of electron injection/removal and the Li-ion transport at the surface; (ii) to protect the surface of electrode materials from dissolution; and (iii) to prevent side reactions at the electrode/electrolyte interface and protect the structural stability of the electrode surface.

As one example for the first purpose, nanocoating LiFePO_4 with an electronic conductive medium (e.g., carbon [89], conductive polymer [90], or conductive metal phosphides [91]) and ionically conductive medium (e.g., Li_3PO_4 and $\text{Li}_4\text{P}_2\text{O}_7$ [92,93]) has proved to be beneficial for the depolarization effect. Surface coating also facilitates the interfacial charge transfer between the LTO and the electrolyte, enhancing

the battery power density. The coating materials reported for the LTO include Ag, Cu, C, SnO_2 , and conductive organic compounds [85].

With regard to the second purpose, for lithium manganese oxide spinel cathodes, Mn^{2+} dissolves into non-aqueous electrolyte and eventually deposits on the surface of the graphitic anode and degrades the electrochemical performance [94,95]. Nanocoatings with 10–20-nm-thick layers of various oxides or fluorides, such as ZrO_2 [96,97], TiO_2 [98,99], SiO_2 [97], Al_2O_3 [97], and AlF_3 [100], have been shown to protect the LiMn_2O_4 cathode from dissolution. In addition, functional electrolyte additives that form a nano-passivation layer at the electrode surface during the initial formation have been found to significantly improve the cycle life [101,102].

Unlike LiCoO_2 and LiMn_2O_4 , in which only 0.5 lithium atoms per transition metal atom can be reversibly removed and inserted, nickel-

rich cathodes, $\text{LiNi}_{1-x-y}\text{Mn}_x\text{Co}_y\text{O}_2$ (x , y , and $x+y \leq 0.5$), can deliver a reversible capacity of about 200 mAh g^{-1} (more than 0.7 lithium atoms per transition metal) [38]. Delithiated layered nickel-rich cathodes are extremely reactive due to a substantial overlap between the 3d band of Ni and the 2p band of oxygen [103], leading to a chemical reaction between the charged nickel-rich layered cathode and the non-aqueous electrolyte. This reaction would lead to substantial reduction in reversible capacity (a loss of accessible lithium), a hike in the interfacial impedance (a loss of power density), and a severe reduction of the safety characteristics of the battery. Besides, nickel-rich oxides have a tendency to lose oxygen during cycling and form rock-salt NiO on the surface. All these lead to a growing polarization effect and degradation of the electrochemical performance during cycling [104]. Thus, as examples of the third purpose, various nanocoatings of oxides [105], fluorides [38], or phosphates [106] serve well as a physical barrier between the layered cathode and the electrolyte, resulting in a significant depolarization effect and an extended cycle life. This type of coating is generally composed of nanoparticles, typically ranging from 5 nm to 20 nm, that are formed in the liquid phase and deposited on the surface of the cathode material. These nanoparticles tend to aggregate, protecting some areas but leaving other areas uncoated [107]. To maximize protection, more nanoparticles can be deposited to form a complete coating layer [108], which can be as thick as 100 nm. Our recent work reported on a Ni-rich layered cathode coated with LiFePO_4 nanoparticles (Fig. 4a and b) [109], which shows an improved depolarization effect and cycling life. This can be attributed to the excellent structural stability of the LiFePO_4 surface, which can prevent the side reactions between the charged nickel-rich layered cathode and the non-aqueous electrolyte and protect the structural stability of the cathode surface.

6.2. Sub-nanometer coatings

A method that prevents the side reactions at the electrode/electrolyte interface and protects the structural stability of the surface of electrode materials is atomic layer deposition (ALD), which can generate sub-nanometer coatings on the cathode surface [108]. Scott et al. reported that a coating of 3–5 ALD cycles with Ni-rich cathodes gives the best electrochemical performance [12]. However, forming a complete, conformal coating in 3–5 ALD cycles is a challenge because the surface of Ni-rich cathodes lacks acidic groups that make ALD deposition effective. We recently reported that cells with $\text{LiNi}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2}\text{O}_2$ (NMC532) electrodes coated with Al_2O_3 by ALD have a reduced polarization effect and much enhanced cycling stability (Fig. 4c–e) [110]. The ultrathin ALD Al_2O_3 film can reduce the interface resistance of lithium-ion diffusion and enhance the surface stability of NMC532 by retarding the reactions at NMC532/electrolyte interfaces, thereby preventing the formation of new microstructural rock-salt phase NiO around the NMC532 surface.

6.3. Surface doping

Surface doping can tune the surface states of electrode materials and improve the charge transfer kinetics and the charge/discharge performance, thus reducing the polarization effect. Park et al. reported that the under-coordinated $\text{Fe}^{2+}/\text{Fe}^{3+}$ redox couple at the surface of the LiFePO_4 cathode has a high barrier for charge transfer, but it can be stabilized by surface nitrogen or sulfur adsorption (Fig. 5a) [111]. Surface doping can also stabilize the surface cations to prevent the dissolution of transition metal cations. Lu et al. reported a nanoscale surface-doping approach (Ti^{4+} doping) that minimizes Mn dissolution from spinel LiMn_2O_4 to show an enhanced depolarization effect and improved electrochemical performance (Fig. 5b) [98]. This improvement is caused by two factors: stabilization of the surface crystal structure of lithium manganate through cationic doping while the bulk lithium manganate structure is maintained, and protection of bulk

lithium manganate from electrolyte corrosion while ion and charge transport channels are maintained on the surface through the electrochemically active doping layer.

6.4. Surface prelithiation

We recently proposed a unique “prelithiation process”, which brought the $\text{Li}(\text{Ni}_x\text{Mn}_y\text{Co}_z)\text{O}_2$ (NMC) cathode to low potential before regular cycling and led to an SEI that is normally formed only on anode surfaces [112,113]. The complete coverage of the cathode surface by a $\sim 40\text{-nm}$ -thick interphase prevented Mn(II) dissolution and minimized the side reactions of Ni, Co, and Mn at the SEI interface during the subsequent cycling. More important, such a “prelithiation” process activated a structure containing two Li layers near the surface of $\text{Li}(\text{Ni}_x\text{Mn}_y\text{Co}_z)\text{O}_2$ ($x+y+z=1$) materials particles, as verified by X-ray diffraction (XRD) and first principle calculation. Hence, we generated a new cathode material with depolarized structure delivering both high capacity and excellent cycling performance.

6.5. Electrode/electrolyte interface

We recently reported a novel “Janus” hydrated interface in the $\text{LiFePO}_4\text{-H}_2\text{O}$ system (Fig. 6a) [21], where the truncated symmetry of the solid LiFePO_4 surface is compensated by chemisorbed H_2O molecules, forming a *half-solid* (LiFePO_4) and *half-liquid* (H_2O) amphiphilic coordination environment that eases the Li desolvation process near the surface (Fig. 6b). This, in turn, makes possible fast Li-ion transport across the solid/liquid interfaces and leads to an enhanced depolarization effect. As shown in Fig. 1b, when cycled in aqueous electrolyte, the 45-nm LiFePO_4 nanocrystals show smaller difference in potential between the anodic and cathodic peaks in the CVs [21]. Accordingly, the charge-discharge curves for LiFePO_4 nanocrystals in aqueous electrolyte show much less polarization effect with increasing current density (Fig. 1d).

7. Other new technologies

7.1. Disorder

Traditionally, cathodes have been sought from well-ordered close-packed oxides, in particular layered rocksalt-type lithium transition-metal oxides (Li-TM-oxides) and ordered spinels, contrary to the limited attention to non-ordered materials [114]. In these ordered compounds, Li sites and pathways (a 2D slab in the layered oxides and a 3D network of tetrahedral sites in the spinels) are separated from the TM sublattice, which provides stability and electron storage capacity. Having well-ordered structures where there is little or no intermixing between the Li and the TM sublattice is generally considered important for obtaining high capacity cathode materials with good cycle life. In some cases, improvements in ordering have notably increased power or energy density. Previously, we reported that integrating some disordered structures into $\beta\text{-LiFePO}_4$ can create new lithium migration passages, which allow lithium extraction/insertion from the structure, thus introducing a significant depolarization effect (Fig. 7a) [115]. The activation mechanism can be attributed to that the induced disorder (such as $\text{Fe}_{\text{Li}}\text{Li}_{\text{Fe}}$ antisite defects, crystal distortion, and amorphous domains) reduces the activation energies of Li-ion diffusion greatly and creates new lithium migration passages (Fig. 7a), which free the captive stored lithium atoms and facilitate their intercalation/deintercalation from the cathode. Ceder group found that cation disorder is not detrimental for layered materials, provided a sufficient amount ($\sim 20\%$) of excess lithium is present in the composition [116]. In fully cation-disordered materials, the lithium diffusion kinetics is enabled by $\sim 10\%$ excess lithium. This is because excess lithium in the transition metal (TM) layer can create TM-0 Li-ion diffusion channels with low activation barriers due to the low repulsion between the activated Li-

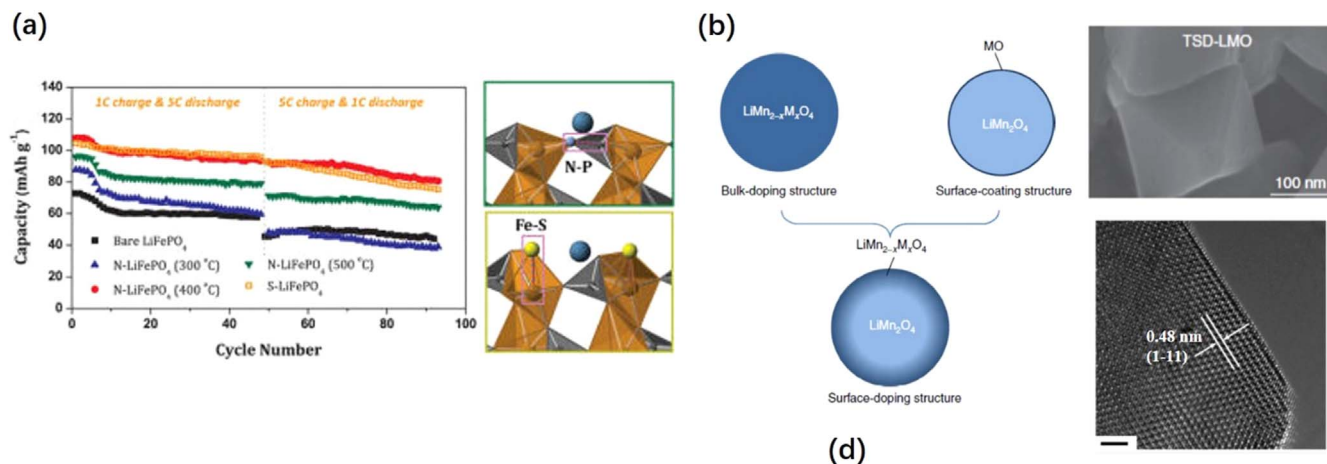


Fig. 5. (a) Surface nitrogen or sulfur doping can improve greatly the charge transfer kinetics and the charge/discharge performance of a LiFePO_4 cathode. (b) The structures resulting from bulk doping, surface-coating and surface-doping (M represents the dopant cation). Scanning electron microscopy images and high-resolution TEM images of surface-doped LiMn_2O_4 particles showing uniform structure from surface to the interior. Reprinted with permission from Refs. [111,98].

ion and disordered lithium/vacancies above it (Fig. 7b), thus to improve the Li-ion diffusivity significantly.

7.2. Three-dimensional printing

Lithium-ion transport to or from the active materials relies on Li-ion diffusion in the electrolyte more in a thicker porous electrode than a thinner one under the same conditions. This is because when LIBs are cycled under high rates, a larger concentration gradient of the electrolyte forms in the porous electrode. As the Li ions cannot pass through the porous electrode via bulk diffusion, the electrolyte diffusion and the electrode porosity would play a dominant role in the overall Li-ion diffusion. Thus, the solution intrinsic diffusion coefficient, efficiency porosity, and electrode thickness could play a dominant role in the equivalent diffusion coefficient with the electrode beyond a certain thickness, which determines the whole kinetic process in LIBs at high rates [117]. The polarization effect under larger current densities for traditional electrodes can mainly be attributed to such factors.

Recently, three-dimensional (3D) printing technology has been developed to print 3D LIBs [117,118], benefiting from its advantages of high areal energy density, short Li-ion transport distance, increased height of the interdigitated battery, and improved control of electrode width and thickness. Such 3D printed ultrathin porous electrodes with high efficiency porosity for LIBs can improve the Li-ion electrolyte diffusion and reduce the polarization of the Li-ion concentration in the electrolytes near the electrode surface during cycling, which usually

exists in thick electrodes, leading to a significant depolarization effect and ultrahigh rate capability.

8. Summary and outlook

Polarization is a general problem in electrode materials during electrochemical cycling of LIBs, which slows the lithium insertion/extraction kinetics and degrades the battery performance. In this review, we have discussed the principal technologies that have been developed to enhance the depolarization effect in electrode materials. Table 1 shows a comparison of the performance of reported LiFePO_4 LIBs after depolarization by different methods, including metal doping in bulk materials, nanostructure design, surface coating, surface doping, and electrode/electrolyte interface. We can see that all the methods take effect to introduce depolarization effect to improve the performance of LiFePO_4 LIBs greatly. We expect that the rational design of electrode materials will play a crucial role in the development of LIBs with high capacity, high rate performance, and long cycling life. However, the above technologies in batteries still need to be improved or further developed, and low-cost and large-scale compatible technologies should be developed to move the bench-scale demonstrations into practical industry-level applications:

- Metal doping. Metal doping is an effective method to improve the intrinsic electronic conductivity of cathode materials and can avoid the disadvantage of the reduced tap density by carbon coating. In the

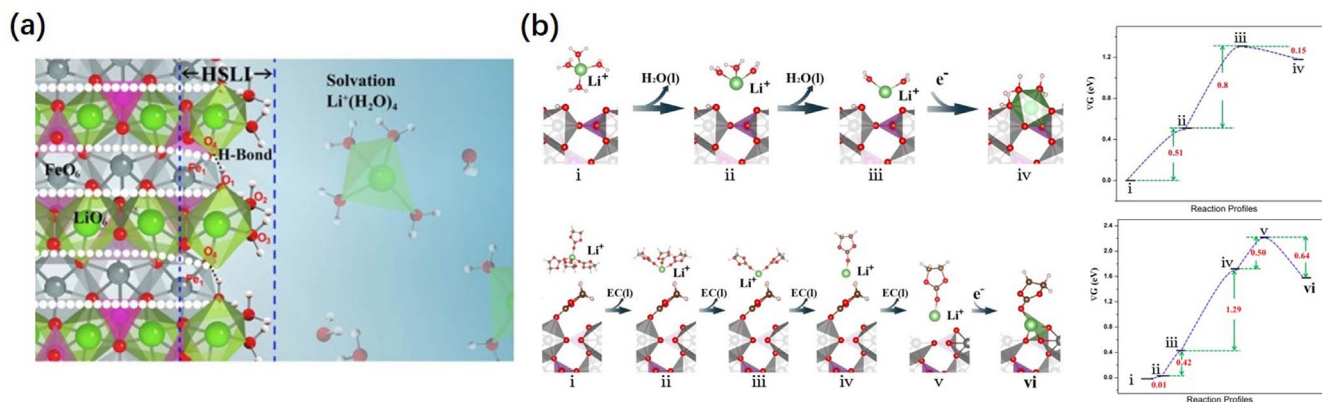


Fig. 6. (a) The novel “Janus” hydrated interface in the $\text{LiFePO}_4\text{-H}_2\text{O}$ system. (b) The reaction profiles for Li-ions transport across the FePO_4 /water interface and FePO_4 /EC interface in the discharge process and their energies at each step (right hand panels). Li, green; Fe, gray; P, purple; O, red; C, brown; H, white. Reprinted with permission from Refs. [21].

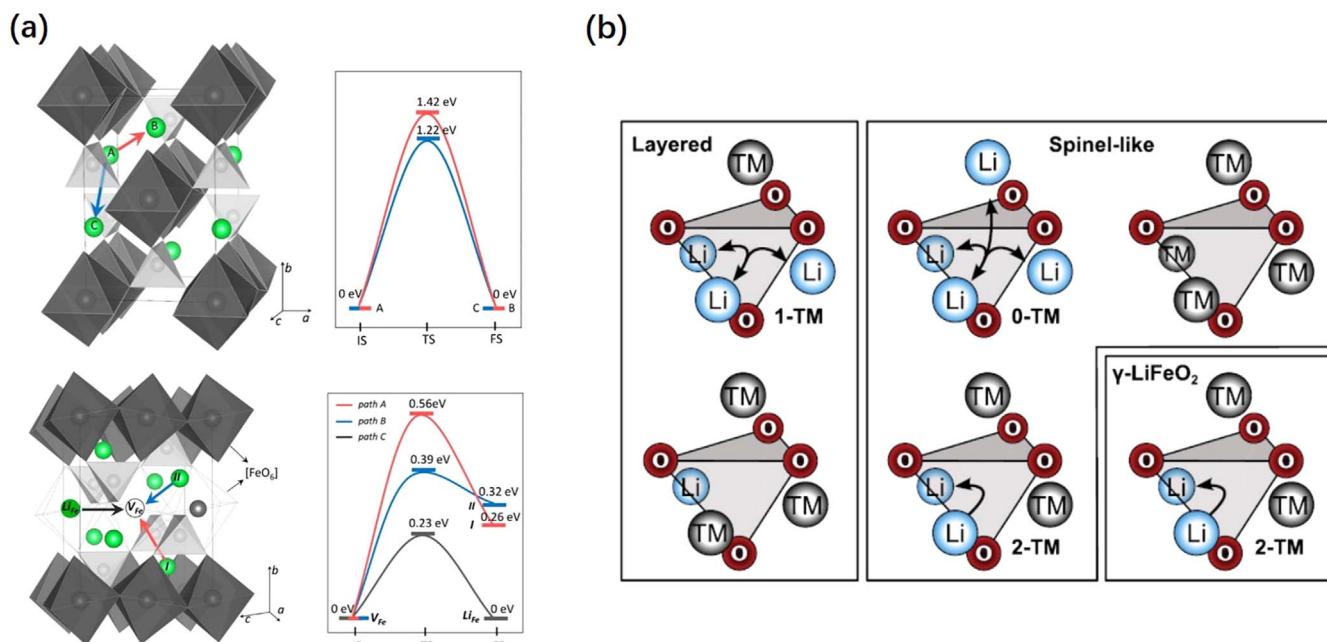


Fig. 7. (a) Disordered structures in β -LiFePO₄. The induced disorder (such as Fe_{1-x}Li_xFe antisite defects, crystal distortion, and amorphous domains) decreases the activation barriers of Li-ion diffusion greatly and creates new lithium migration passages. (b) Cation distributions around tetrahedral sites in the stoichiometric ordered layered lithium transition-metal oxides. Potential lithium diffusion channels are indicated with arrows. Reprinted with permission from Refs. [115,116].

future, new doping elements and methods can be developed to further improve the performance of LIBs. For example, the previous pioneer works chose to dope Li sites in LiFePO₄ with dopants that has an ionic radius in octahedral coordination smaller than that of Fe²⁺ (e.g., Al³⁺, Cr³⁺, Ti⁴⁺, Zr⁴⁺, Nb⁵⁺, and W⁶⁺) [4,23]. Doping Fe sites in LiFePO₄ with dopants that has an ionic radius in octahedral coordination larger than that of Fe²⁺ (e.g., Sn²⁺, Sn⁴⁺) may also take effect to improve the intrinsic electronic conductivity of LiFePO₄. Furthermore, using high throughput computing method, we can calculate the doping cases in Li sites and Fe sites by all the elements in the periodic table and screen out the targeted dopants. Moreover, we can choose dopants that would act with dual or more functions in cathode materials. For example, our recent work shows that Ti substituting Fe sites in Li₂FeSiO₄ can not only introduce *n*-type doping to improve the electronic conductivity but also improve the structure stability and Li-ion diffusion in Li₂FeSiO₄ [119].

- Nanotechnologies. Nanocrystallites have large specific surface area, which is expected to decrease the capacity for lithium storage because of the reduced binding energy for surface lithium with its truncated symmetry. Ceder et al. calculated the surface potential for lithium storage on a clean LiFePO₄ (010) surface, which is the main

exposed surface, and found that it is lower than the bulk value by 0.6 eV [120]. Indeed, nanometer-sized LFP particles exhibit sloping voltage charge/discharge curves, unlike the charge/discharge voltage plateau seen in larger LFP particles [121]. Meanwhile, compared with bulk materials, the large specific surface area of nanocrystallites could lead to severe chemical activities due to the facilitated chemical catalysis [122], which typically compromises electrolyte stability and enhances the dissolution of transition-metal cations [123], which jeopardizes the stability and cycling life of the battery. Moreover, nanosizing is expected to reduce the tap density and further decrease the total energy density of an electrode [29,31]. It is thus paramount to overcome these problems in order to make the nanocrystallite electrode truly useful and beneficial. One strategy to overcome the above problems is to develop surface reconstruction technologies, which can truly help to not only utilize the advantages of the nanocrystallites' fast Li-ion transport to achieve high power density, but also use the ultra-high surface area to store Li ions to enhance energy density and avoid side reactions with electrolytes for long-term stability. For example, the incorporation of LFP nanocrystals with few-layer graphene can deliver a capacity of 208 mA h g⁻¹, which is beyond the theoretical capacity of LFP

Table 1

Comparison of the performance of reported LiFePO₄ LIBs after depolarization by different methods. (1 C=170 mA g⁻¹).

Depolarization methods	Particle size	Discharge rates (C)	Discharge capacity (mAh/g)
Metal doing in bulk	undoped	50–20 nm	1/30
12 wt% carbon			22
Ref. [4]	doped (Zr ⁴⁺)	19	65
Nanostructure design	(100) exposure facet	200 nm×150 nm×30 nm	10
10 wt% carbon			28
Ref. [13]	(010) exposure facet	10	148
Surface coating with a fast ion-conducting layer	uncoated	200	80
65 wt% carbon (Ref. [92])	coated	200, 400	100, 60
	undoped	10	≈22
Surface doping			
17 wt% carbon	doped (S)	10	86.4
Ref. [111]	Organic electrolyte	200	20
Electrode/electrolyte interface, 50 wt% carbon	Aqueous electrolyte	600	72
Ref. [21]			

(170 mA h g⁻¹) [124]. Compared with the same LFP particles coated with traditional thin amorphous carbon layer, the cycling life and rate performance are also improved.

- Surface and interface engineering. The surface and interface chemistry plays an important role on the whole kinetics of LIBs. The future surface engineering technologies should focus not only on improving the surface stability of electrode materials but also on the surface and interface kinetics (e.g., electrode/electrolyte interface), which is especially important for the electrode/solid electrolyte interface in all solid LIBs.
- Disorder is a new concept and technology developed recently, which can effectively reduce the depolarization effect. Ceder group have done much pioneer works in this field [114,116]. There is much works that can be done in the future to further develop this strategy, including the mechanisms, the design principles and the methods to introduce appropriate cation disordering.

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